

Form **990**

**Return of Organization Exempt From Income Tax**  
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

OMB No. 1545-0047

**2017**  
Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

**A For the 2017 calendar year, or tax year beginning and ending**

|  |   |  |
|--|---|--|
| <b>B</b> Check if applicable:<br><br><input type="checkbox"/> Address change<br><input type="checkbox"/> Name change<br><input type="checkbox"/> Initial return<br><input type="checkbox"/> Final return/terminated<br><input type="checkbox"/> Amended return<br><input type="checkbox"/> Application pending | <b>C</b> Name of organization<br><p align="center"><b>NATIONAL CENTER FOR STATE COURTS</b></p> Doing business as<br>Number and street (or P.O. box if mail is not delivered to street address) Room/suite<br><p align="center"><b>300 NEWPORT AVENUE</b></p> City or town, state or province, country, and ZIP or foreign postal code<br><p align="center"><b>WILLIAMSBURG, VA 23185</b></p> <b>F</b> Name and address of principal officer: <b>MARY C. MCQUEEN</b><br><b>SAME AS C ABOVE</b> | <b>D</b> Employer identification number<br><p align="center">52-0914250</p> <b>E</b> Telephone number<br><p align="center">(757) 259-1565</p> <b>G</b> Gross receipts \$ <b>63,993,945.</b><br><b>H(a)</b> Is this a group return for subordinates? ..... <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br><b>H(b)</b> Are all subordinates included? <input type="checkbox"/> Yes <input type="checkbox"/> No<br>If "No," attach a list. (see instructions)<br><b>H(c)</b> Group exemption number ▶ |
| <b>I</b> Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) ( ) ◀ (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527   |   |  |
| <b>J</b> Website: ▶ <b>WWW.NCSCONLINE.ORG</b>  |   |  |
| <b>K</b> Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶  |   |  |
| <b>L</b> Year of formation: <b>1971</b>  |   | <b>M</b> State of legal domicile: <b>DC</b>  |

**Part I Summary**

| <b>Activities &amp; Governance</b>   | <b>1</b> Briefly describe the organization's mission or most significant activities: <b>IMPROVING THE ADMINISTRATION OF JUSTICE THROUGH RESEARCH, EDUCATION AND DIRECT SERVICES.</b><br><b>2</b> Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.<br><b>3</b> Number of voting members of the governing body (Part VI, line 1a) ..... <b>3</b> <span style="float:right">26</span><br><b>4</b> Number of independent voting members of the governing body (Part VI, line 1b) ..... <b>4</b> <span style="float:right">26</span><br><b>5</b> Total number of individuals employed in calendar year 2017 (Part V, line 2a) ..... <b>5</b> <span style="float:right">312</span><br><b>6</b> Total number of volunteers (estimate if necessary) ..... <b>6</b> <span style="float:right">32</span><br><b>7a</b> Total unrelated business revenue from Part VIII, column (C), line 12 ..... <b>7a</b> <span style="float:right">1,632.</span><br><b>7b</b> Net unrelated business taxable income from Form 990-T, line 34 ..... <b>7b</b> <span style="float:right">-5,593.</span>   |  |                           |              |   |             |             |   |             |             |  |             |             |  |         |         |  |             |             |   |             |             |  |           |           |  |
|--|--|--|---------------------------|--------------|---|-------------|-------------|---|-------------|-------------|--|-------------|-------------|--|---------|---------|--|-------------|-------------|---|-------------|-------------|--|-----------|-----------|--|
| <b>Revenue</b>   | <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th align="center">Prior Year</th> <th align="center">Current Year</th> </tr> </thead> <tbody> <tr> <td><b>8</b> Contributions and grants (Part VIII, line 1h) .....</td> <td align="right">36,446,056.</td> <td align="right">37,504,898.</td> </tr> <tr> <td><b>9</b> Program service revenue (Part VIII, line 2g) .....</td> <td align="right">25,031,080.</td> <td align="right">24,449,587.</td> </tr> <tr> <td><b>10</b> Investment income (Part VIII, column (A), lines 3, 4, and 7d) .....</td> <td align="right">308,749.</td> <td align="right">490,253.</td> </tr> <tr> <td><b>11</b> Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) .....</td> <td align="right">98,889.</td> <td align="right">98,779.</td> </tr> <tr> <td><b>12</b> Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) .....</td> <td align="right">61,884,774.</td> <td align="right">62,543,517.</td> </tr> </tbody> </table>   |  | Prior Year                | Current Year | <b>8</b> Contributions and grants (Part VIII, line 1h) .....                  | 36,446,056. | 37,504,898. | <b>9</b> Program service revenue (Part VIII, line 2g) .....                                       | 25,031,080. | 24,449,587. | <b>10</b> Investment income (Part VIII, column (A), lines 3, 4, and 7d) .....  | 308,749.    | 490,253.    | <b>11</b> Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) ..... | 98,889. | 98,779. | <b>12</b> Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) ..... | 61,884,774. | 62,543,517. |   |             |             |  |           |           |  |
|  | Prior Year   | Current Year   |                           |              |   |             |             |   |             |             |  |             |             |  |         |         |  |             |             |   |             |             |  |           |           |  |
| <b>8</b> Contributions and grants (Part VIII, line 1h) .....                                       | 36,446,056.  | 37,504,898.  |                           |              |   |             |             |   |             |             |  |             |             |  |         |         |  |             |             |   |             |             |  |           |           |  |
| <b>9</b> Program service revenue (Part VIII, line 2g) .....  | 25,031,080.  | 24,449,587.  |                           |              |   |             |             |   |             |             |  |             |             |  |         |         |  |             |             |   |             |             |  |           |           |  |
| <b>10</b> Investment income (Part VIII, column (A), lines 3, 4, and 7d) .....                      | 308,749.   | 490,253.   |                           |              |   |             |             |   |             |             |  |             |             |  |         |         |  |             |             |   |             |             |  |           |           |  |
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| <b>12</b> Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) ..... | 61,884,774.  | 62,543,517.  |                           |              |   |             |             |   |             |             |  |             |             |  |         |         |  |             |             |   |             |             |  |           |           |  |
| <b>Expenses</b>  | <table border="1" style="width:100%; border-collapse: collapse;"> <tbody> <tr> <td><b>13</b> Grants and similar amounts paid (Part IX, column (A), lines 1-3) .....</td> <td align="right">0.</td> <td align="right">0.</td> </tr> <tr> <td><b>14</b> Benefits paid to or for members (Part IX, column (A), line 4) .....</td> <td align="right">0.</td> <td align="right">0.</td> </tr> <tr> <td><b>15</b> Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) .....</td> <td align="right">20,285,248.</td> <td align="right">20,783,419.</td> </tr> <tr> <td><b>16a</b> Professional fundraising fees (Part IX, column (A), line 11e) .....</td> <td align="right">0.</td> <td align="right">4,707.</td> </tr> <tr> <td><b>b</b> Total fundraising expenses (Part IX, column (D), line 25) ▶ <b>504,370.</b></td> <td></td> <td></td> </tr> <tr> <td><b>17</b> Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) .....</td> <td align="right">41,777,888.</td> <td align="right">42,228,263.</td> </tr> <tr> <td><b>18</b> Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) .....</td> <td align="right">62,063,136.</td> <td align="right">63,016,389.</td> </tr> <tr> <td><b>19</b> Revenue less expenses. Subtract line 18 from line 12 .....</td> <td align="right">-178,362.</td> <td align="right">-472,872.</td> </tr> </tbody> </table> | <b>13</b> Grants and similar amounts paid (Part IX, column (A), lines 1-3) ..... | 0.                        | 0.           | <b>14</b> Benefits paid to or for members (Part IX, column (A), line 4) ..... | 0.          | 0.          | <b>15</b> Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) ..... | 20,285,248. | 20,783,419. | <b>16a</b> Professional fundraising fees (Part IX, column (A), line 11e) ..... | 0.          | 4,707.      | <b>b</b> Total fundraising expenses (Part IX, column (D), line 25) ▶ <b>504,370.</b>     |         |         | <b>17</b> Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) .....                       | 41,777,888. | 42,228,263. | <b>18</b> Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) ..... | 62,063,136. | 63,016,389. | <b>19</b> Revenue less expenses. Subtract line 18 from line 12 ..... | -178,362. | -472,872. |  |
| <b>13</b> Grants and similar amounts paid (Part IX, column (A), lines 1-3) .....                   | 0.   | 0.   |                           |              |   |             |             |   |             |             |  |             |             |  |         |         |  |             |             |   |             |             |  |           |           |  |
| <b>14</b> Benefits paid to or for members (Part IX, column (A), line 4) .....                      | 0.   | 0.   |                           |              |   |             |             |   |             |             |  |             |             |  |         |         |  |             |             |   |             |             |  |           |           |  |
| <b>15</b> Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) .....  | 20,285,248.  | 20,783,419.  |                           |              |   |             |             |   |             |             |  |             |             |  |         |         |  |             |             |   |             |             |  |           |           |  |
| <b>16a</b> Professional fundraising fees (Part IX, column (A), line 11e) .....                     | 0.   | 4,707.   |                           |              |   |             |             |   |             |             |  |             |             |  |         |         |  |             |             |   |             |             |  |           |           |  |
| <b>b</b> Total fundraising expenses (Part IX, column (D), line 25) ▶ <b>504,370.</b>               |  |  |                           |              |   |             |             |   |             |             |  |             |             |  |         |         |  |             |             |   |             |             |  |           |           |  |
| <b>17</b> Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) .....                       | 41,777,888.  | 42,228,263.  |                           |              |   |             |             |   |             |             |  |             |             |  |         |         |  |             |             |   |             |             |  |           |           |  |
| <b>18</b> Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) .....          | 62,063,136.  | 63,016,389.  |                           |              |   |             |             |   |             |             |  |             |             |  |         |         |  |             |             |   |             |             |  |           |           |  |
| <b>19</b> Revenue less expenses. Subtract line 18 from line 12 .....                               | -178,362.  | -472,872.  |                           |              |   |             |             |   |             |             |  |             |             |  |         |         |  |             |             |   |             |             |  |           |           |  |
| <b>Net Assets or Fund Balances</b>   | <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th align="center">Beginning of Current Year</th> <th align="center">End of Year</th> </tr> </thead> <tbody> <tr> <td><b>20</b> Total assets (Part X, line 16) .....</td> <td align="right">38,467,248.</td> <td align="right">39,701,500.</td> </tr> <tr> <td><b>21</b> Total liabilities (Part X, line 26) .....</td> <td align="right">16,747,060.</td> <td align="right">17,202,193.</td> </tr> <tr> <td><b>22</b> Net assets or fund balances. Subtract line 21 from line 20 .....</td> <td align="right">21,720,188.</td> <td align="right">22,499,307.</td> </tr> </tbody> </table>  |  | Beginning of Current Year | End of Year  | <b>20</b> Total assets (Part X, line 16) .....                                | 38,467,248. | 39,701,500. | <b>21</b> Total liabilities (Part X, line 26) .....   | 16,747,060. | 17,202,193. | <b>22</b> Net assets or fund balances. Subtract line 21 from line 20 .....     | 21,720,188. | 22,499,307. |  |         |         |  |             |             |   |             |             |  |           |           |  |
|  | Beginning of Current Year  | End of Year  |                           |              |   |             |             |   |             |             |  |             |             |  |         |         |  |             |             |   |             |             |  |           |           |  |
| <b>20</b> Total assets (Part X, line 16) .....   | 38,467,248.  | 39,701,500.  |                           |              |   |             |             |   |             |             |  |             |             |  |         |         |  |             |             |   |             |             |  |           |           |  |
| <b>21</b> Total liabilities (Part X, line 26) .....  | 16,747,060.  | 17,202,193.  |                           |              |   |             |             |   |             |             |  |             |             |  |         |         |  |             |             |   |             |             |  |           |           |  |
| <b>22</b> Net assets or fund balances. Subtract line 21 from line 20 .....                         | 21,720,188.  | 22,499,307.  |                           |              |   |             |             |   |             |             |  |             |             |  |         |         |  |             |             |   |             |             |  |           |           |  |

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

|                               |   |                                |                          |   |                          |
|-------------------------------|---|--------------------------------|--------------------------|---|--------------------------|
| <b>Sign Here</b>              | Signature of officer<br><p align="center"><b>GWEN M. WHITAKER, TREASURER</b></p> Type or print name and title | Date<br>_____                  |                          |   |                          |
| <b>Paid Preparer Use Only</b> | Print/Type preparer's name<br><b>FRANK H. SMITH</b>   | Preparer's signature<br>       | Date<br><b>11/14/18</b>  | Check if self-employed <input type="checkbox"/> | PTIN<br><b>P00639053</b> |
|                               | Firm's name ▶ <b>RAFFA, P.C.</b>  | Firm's EIN ▶ <b>52-1511275</b> |                          |   |                          |
|                               | Firm's address ▶ <b>1899 L STREET, NW, SUITE 850</b><br><b>WASHINGTON, DC 20036</b>                           |                                | Phone no. (202) 822-5000 |   |                          |

May the IRS discuss this return with the preparer shown above? (see instructions)  Yes  No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III [X]

1 Briefly describe the organization's mission: SINCE 1971, THE NATIONAL CENTER FOR STATE COURTS (THE CENTER) PARTNERS WITH STATE COURTS IN THEIR EVOLUTION INTO MODERN GOVERNMENTAL INSTITUTIONS AND HELPS TO INTRODUCE EFFICIENCY AND COST-EFFECTIVENESS INTO THE ADMINISTRATION OF JUSTICE TO ENSURE IMPARTIALITY AND

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? [ ] Yes [X] No If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? [ ] Yes [X] No If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code: ) (Expenses \$ 44,883,431. including grants of \$ ) (Revenue \$ 15,915,377.) INTERNATIONAL AND RESEARCH PROGRAMS - THE CENTER CONDUCTED APPROXIMATELY 100 PROJECTS IN DIVERSE AREAS INCLUDING TECHNOLOGY, COURT STATISTICS, RACIAL AND ETHNIC BIAS IN THE COURTS, COMMUNITY COURTS, MANDATORY MINIMUM SENTENCING, VIOLENCE AGAINST WOMEN, INTERNATIONAL ISSUES, AND MANY OTHERS. THE RESEARCH IS PERFORMED TO IDENTIFY NEEDS AND PROVIDE LONG-TERM SOLUTIONS.

4b (Code: ) (Expenses \$ 7,739,534. including grants of \$ ) (Revenue \$ 5,502,935.) DIRECT SERVICES TO STATE AND LOCAL COURTS - THE CENTER SERVES AS A TECHNICAL ASSISTANCE RESOURCE FOR THE ADAPTATION OF IMPROVEMENTS IN THE COURTS THROUGH CONSULTING. THE CENTER PERFORMED APPROXIMATELY 218 INDIVIDUAL STATE CONTRACTS IN MANY AREAS, INCLUDING FOSTER CARE, HUMAN RESOURCES, AUTOMATION, BUDGET AND FINANCIAL ISSUES, JURIES, FACILITIES PLANNING, CASEFLOW MANAGEMENT AND COURT INTERPRETATION.

4c (Code: ) (Expenses \$ 4,883,000. including grants of \$ ) (Revenue \$ 860,854.) CLEARINGHOUSE ACTIVITIES - THE CENTER SERVES AS A CLEARINGHOUSE THROUGH WHICH MEMBERS OF THE COURT COMMUNITY AND OTHERS INTERESTED IN COURTS CAN EXCHANGE INFORMATION AND ENCOURAGE IMPROVEMENTS IN STATE COURTS ADMINISTRATION. SPECIFIC SERVICES ARE: DISTRIBUTION OF COURT-RELATED PUBLICATIONS, COURT ASSOCIATION MANAGEMENT SERVICES, GOVERNMENT RELATIONS, AND INFORMATION SERVICES.

4d Other program services (Describe in Schedule O.) (Expenses \$ 2,554,180. including grants of \$ ) (Revenue \$ 2,170,421.)

4e Total program service expenses 60,060,145.

**Part IV Checklist of Required Schedules**

|   | Yes | No |
|---|-----|----|
| <b>1</b> Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)?<br><i>If "Yes," complete Schedule A</i> .....  | X   |    |
| <b>2</b> Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> ? .....   | X   |    |
| <b>3</b> Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i> .....  |     | X  |
| <b>4 Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i> .....  | X   |    |
| <b>5</b> Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i> .....   |     | X  |
| <b>6</b> Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i> .....  |     | X  |
| <b>7</b> Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i> .....  |     | X  |
| <b>8</b> Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i> .....   |     | X  |
| <b>9</b> Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i> .....            |     | X  |
| <b>10</b> Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i> .....   | X   |    |
| <b>11</b> If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.   |     |    |
| <b>a</b> Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i> .....   | X   |    |
| <b>b</b> Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i> .....   | X   |    |
| <b>c</b> Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i> .....   |     | X  |
| <b>d</b> Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i> .....  |     | X  |
| <b>e</b> Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i> .....   | X   |    |
| <b>f</b> Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i> .....  | X   |    |
| <b>12a</b> Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i> .....  | X   |    |
| <b>b</b> Was the organization included in consolidated, independent audited financial statements for the tax year?<br><i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i> .....  |     | X  |
| <b>13</b> Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i> .....  |     | X  |
| <b>14a</b> Did the organization maintain an office, employees, or agents outside of the United States? .....  | X   |    |
| <b>b</b> Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i> ..... | X   |    |
| <b>15</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i> .....   |     | X  |
| <b>16</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i> .....   |     | X  |
| <b>17</b> Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i> .....   |     | X  |
| <b>18</b> Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i> .....   |     | X  |
| <b>19</b> Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i> .....   |     | X  |

Part IV Checklist of Required Schedules (continued)

Table with 3 columns: Question ID, Question Text, Yes, No. Rows include 20a through 38, covering various organizational requirements and schedules.



Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

X

Table with columns for question number, description, and Yes/No checkboxes. Includes sections for backup withholding, employee reporting, foreign accounts, prohibited transactions, and charitable contributions.

COPY

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI [X]

Section A. Governing Body and Management

Table with 3 columns: Question, Yes, No. Rows include: 1a Enter the number of voting members of the governing body at the end of the tax year (26); 1b Enter the number of voting members included in line 1a, above, who are independent (26); 2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? (X); 3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person? (X); 4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? (X); 5 Did the organization become aware during the year of a significant diversion of the organization's assets? (X); 6 Did the organization have members or stockholders? (X); 7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? (X); 7b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? (X); 8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: a The governing body? (X); b Each committee with authority to act on behalf of the governing body? (X); 9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O (X).

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 3 columns: Question, Yes, No. Rows include: 10a Did the organization have local chapters, branches, or affiliates? (X); 10b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?; 11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? (X); 11b Describe in Schedule O the process, if any, used by the organization to review this Form 990.; 12a Did the organization have a written conflict of interest policy? If "No," go to line 13 (X); 12b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? (X); 12c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done (X); 13 Did the organization have a written whistleblower policy? (X); 14 Did the organization have a written document retention and destruction policy? (X); 15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? a The organization's CEO, Executive Director, or top management official (X); b Other officers or key employees of the organization (X); If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).; 16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? (X); 16b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed AL, AK, AR, CA, CO, CT, FL, GA, HI, IL, KS, KY
18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. [ ] Own website [ ] Another's website [X] Upon request [ ] Other (explain in Schedule O)
19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
20 State the name, address, and telephone number of the person who possesses the organization's books and records: GWEN M. WHITAKER - (757) 259-1565 300 NEWPORT AVENUE, WILLIAMSBURG, VA 23185-4147

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

**1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

| (A)<br>Name and Title  | (B)<br>Average hours per week (list any hours for related organizations below line) | (C)<br>Position (do not check more than one box, unless person is both an officer and a director/trustee) |                       |         |              |                              |        | (D)<br>Reportable compensation from the organization (W-2/1099-MISC) | (E)<br>Reportable compensation from related organizations (W-2/1099-MISC) | (F)<br>Estimated amount of other compensation from the organization and related organizations |
|--|---|---|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
|  |   | Individual trustee or director  | Institutional trustee | Officer | Key employee | Highest compensated employee | Former |  |   |   |
| (1) MAUREEN O'CONNOR, CHAIR-ELECT UNTIL 7/31/2017, CHAIR 08/1/2017     | 1.50  | X   |                       | X       |              |                              |        | 0.   | 0.  | 0.  |
| (2) JOHN D. MINTON, JR. CHAIR - UNTIL 07/31/2017, DIRECTOR             | 1.00  | X   |                       | X       |              |                              |        | 0.   | 0.  | 0.  |
| (3) CALLIE T. DIETZ, V. CHAIR-ELECT UNTIL 07/2017/VICE CHAIR 08/1/2017 | 1.50  | X   |                       | X       |              |                              |        | 0.   | 0.  | 0.  |
| (4) ARTHUR W. PEPIN, VICE CHAIR - UNTIL 07/31/2017, DIRECTOR           | 1.00  | X   |                       | X       |              |                              |        | 0.   | 0.  | 0.  |
| (5) JEROME B. ABRAMS DIRECTOR  | 1.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (6) RONALD B. ADRINE DIRECTOR  | 1.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (7) ELENA R. BACA DIRECTOR   | 1.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (8) JENNIFER D. BAILEY DIRECTOR  | 1.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (9) STEPHEN H. BAKER DIRECTOR - UNTIL 08/2017                          | 1.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (10) S. JACK BALAGIA, JR. DIRECTOR - UNTIL 08/2017                     | 1.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (11) LUTHER J. BATTISTE, III DIRECTOR                                  | 1.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (12) J. JOSEPH BAXTER DIRECTOR   | 1.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (13) MATTHEW L. BENEFIEL DIRECTOR - UNTIL 08/2017                      | 1.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (14) RUSSELL R. BROWN, III DIRECTOR                                    | 1.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (15) MARC S. CADY DIRECTOR   | 1.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (16) STEVEN D. CANTERBURY DIRECTOR - UNTIL 01/2017                     | 1.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (17) DEBORAH J. DANIELS DIRECTOR                                       | 1.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |

**Part VII** Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

| (A)<br>Name and title  | (B)<br>Average hours per week (list any hours for related organizations below line) | (C)<br>Position (do not check more than one box, unless person is both an officer and a director/trustee) |                       |         |              |                              |        | (D)<br>Reportable compensation from the organization (W-2/1099-MISC) | (E)<br>Reportable compensation from related organizations (W-2/1099-MISC) | (F)<br>Estimated amount of other compensation from the organization and related organizations |
|--|---|---|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
|  |   | Individual trustee or director  | Institutional trustee | Officer | Key employee | Highest compensated employee | Former |  |   |   |
| (18) RUSSELL C. DEYO<br>DIRECTOR                               | 1.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (19) RANDALL M. EBNER<br>DIRECTOR                              | 1.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (20) DAVID GILBERTSON<br>DIRECTOR - UNTIL 08/2017              | 1.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (21) PATRICIA W. GRIFFIN<br>DIRECTOR - UNTIL 08/2017           | 1.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (22) MICHAEL J. HARRINGTON<br>DIRECTOR                         | 1.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (23) STEPHANIE E. HESS<br>DIRECTOR                             | 1.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (24) ELIZABETH P. HINES<br>DIRECTOR                            | 1.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (25) SALLY A. HOLEWA<br>DIRECTOR                               | 1.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| (26) SIMON M. LORNE<br>DIRECTOR                                | 1.00  | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| <b>1b Sub-total</b>  |   |   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| <b>c Total from continuation sheets to Part VII, Section A</b> |   |   |                       |         |              |                              |        | 2,386,127.   | 0.  | 353,753.  |
| <b>d Total (add lines 1b and 1c)</b>                           |   |   |                       |         |              |                              |        | 2,386,127.   | 0.  | 353,753.  |

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **46**

|   | Yes | No |
|---|-----|----|
| <b>3</b> Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual  |     | X  |
| <b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual | X   |    |
| <b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person                       |     | X  |

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

| (A)<br>Name and business address  | (B)<br>Description of services | (C)<br>Compensation |
|---|--------------------------------|---------------------|
| SEARCH FOR COMMON GROUND, 1601 CONNECTICUT AVENUE, NW, #200, WASHINGTON, DC 20009 | CONTRACT SERVICES              | 185,937.            |
| RAFFA, P.C., 1899 L STREET, NW, SUITE 850, WASHINGTON, DC 20036                   | ACCOUNTING                     | 167,116.            |
| IOWA DEPARTMENT TRANSPORTATION 800 LINCOLN WAY, AMES, IA 50010                    | CONTRACT SERVICES              | 161,000.            |
| TBL NETWORK, INC., 1801 BAYBERRY COURT, SUITE 202, RICHMOND, VA 23226             | CONTRACT SERVICES              | 151,485.            |
| ON SERVICES - AV SPECIALIST 6779 CRECENT DRIVE, NORCROSS, GA 30071                | CONTRACT SERVICES              | 145,784.            |

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **11**

SEE PART VII, SECTION A CONTINUATION SHEETS



**Part VII** Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

| (A)<br>Name and title                                    | (B)<br>Average hours per week (list any hours for related organizations below line) | (C)<br>Position (check all that apply) |                       |         |              |                              |            | (D)<br>Reportable compensation from the organization (W-2/1099-MISC) | (E)<br>Reportable compensation from related organizations (W-2/1099-MISC) | (F)<br>Estimated amount of other compensation from the organization and related organizations |
|--|---|--|-----------------------|---------|--------------|------------------------------|------------|--|---|---|
|  |   | Individual trustee or director         | Institutional trustee | Officer | Key employee | Highest compensated employee | Former     |  |   |   |
| (27) GARY W. LYNCH<br>DIRECTOR                           | 1.00  | X                                      |                       |         |              |                              | 0.         | 0.   | 0.  |   |
| (28) ANNE M. MILGRAM<br>DIRECTOR                         | 1.00  | X                                      |                       |         |              |                              | 0.         | 0.   | 0.  |   |
| (29) DAN MOZENA<br>DIRECTOR                              | 1.00  | X                                      |                       |         |              |                              | 0.         | 0.   | 0.  |   |
| (30) PAUL L. REIBER<br>DIRECTOR                          | 1.00  | X                                      |                       |         |              |                              | 0.         | 0.   | 0.  |   |
| (31) CLIFFORD M. SLOAN<br>DIRECTOR                       | 1.00  | X                                      |                       |         |              |                              | 0.         | 0.   | 0.  |   |
| (32) TODD A. SMITH<br>DIRECTOR                           | 1.00  | X                                      |                       |         |              |                              | 0.         | 0.   | 0.  |   |
| (33) MARY C. MCQUEEN<br>PRESIDENT AND CEO                | 37.50   |  |                       | X       |              |                              | 230,616.   | 0.   | 31,955.   |   |
| (34) ROBERT N. BALDWIN<br>SECRETARY                      | 37.50   |  |                       | X       |              |                              | 203,894.   | 0.   | 20,821.   |   |
| (35) GWEN M. WHITAKER<br>TREASURER                       | 37.50   |  |                       | X       |              |                              | 192,149.   | 0.   | 28,919.   |   |
| (36) JEFFREY A. APPERSON<br>VP OF INTERNATIONAL PROGRAMS | 40.00   |  |                       |         | X            |                              | 206,432.   | 0.   | 21,168.   |   |
| (37) DANIEL J. HALL<br>VP OF COURT CONSULTING SERVICES   | 37.50   |  |                       |         | X            |                              | 181,153.   | 0.   | 33,264.   |   |
| (38) THOMAS M. CLARKE<br>VP OF RESEARCH & TECHNOLOGY     | 37.50   |  |                       |         | X            |                              | 171,974.   | 0.   | 32,257.   |   |
| (39) JOHN MEEKS<br>VP OF INSTITUTE FOR COURT MANAGEMENT  | 37.50   |  |                       |         | X            |                              | 170,518.   | 0.   | 31,252.   |   |
| (40) JESSE RUTLEDGE<br>VP EXTERNAL AFFAIRS               | 37.50   |  |                       |         | X            |                              | 168,374.   | 0.   | 27,028.   |   |
| (41) JOHN FERRY, JR.<br>EXPATRIATE CHIEF OF PARTY        | 40.00   |  |                       |         |              | X                            | 225,624.   | 0.   | 20,585.   |   |
| (42) S. KAY FARLEY<br>EXEC. DIR. GOV. RELATIONS          | 40.00   |  |                       |         |              | X                            | 162,828.   | 0.   | 25,262.   |   |
| (43) TIMOTHY M. HUGHES<br>SENIOR TECHNICAL ADVISOR       | 40.00   |  |                       |         |              | X                            | 160,369.   | 0.   | 25,288.   |   |
| (44) JOHN FURNARI<br>EXPATRIATE CHIEF OF PARTY           | 40.00   |  |                       |         |              | X                            | 156,227.   | 0.   | 27,645.   |   |
| (45) DEBORAH MASON<br>EXEC. DIR. HUMAN RESOURCES         | 37.50   |  |                       |         |              | X                            | 155,969.   | 0.   | 28,309.   |   |
| Total to Part VII, Section A, line 1c                    |   |  |                       |         |              |                              | 2,386,127. |  | 353,753.  |   |

**Part VIII Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII

|  |   |   | (A)                  | (B)                                | (C)                        | (D)  |          |
|--|---|---|----------------------|------------------------------------|----------------------------|--|----------|
|  |   |   | Total revenue        | Related or exempt function revenue | Unrelated business revenue | Revenue excluded from tax under sections 512 - 514 |          |
| <b>Contributions, Gifts, Grants and Other Similar Amounts</b>        | <b>1 a</b> Federated campaigns  | <b>1a</b>   |                      |                                    |                            |  |          |
|  | <b>b</b> Membership dues  | <b>1b</b>   |                      |                                    |                            |  |          |
|  | <b>c</b> Fundraising events   | <b>1c</b>   |                      |                                    |                            |  |          |
|  | <b>d</b> Related organizations  | <b>1d</b>   |                      |                                    |                            |  |          |
|  | <b>e</b> Government grants (contributions)  | <b>1e</b>   | 36,612,069.          |                                    |                            |  |          |
|  | <b>f</b> All other contributions, gifts, grants, and similar amounts not included above   | <b>1f</b>   | 892,829.             |                                    |                            |  |          |
|  | <b>g</b> Noncash contributions included in lines 1a-1f: \$  |   |                      |                                    |                            |  |          |
|  | <b>h Total.</b> Add lines 1a-1f   |   |                      | 37,504,898.                        |                            |  |          |
|  |   |   |                      |                                    |                            |  |          |
| <b>Program Service Revenue</b>                                       | <b>2 a</b> FEDERAL CONTRACTS  | <b>Business Code</b><br>900099                        | 12,730,900.          | 12,730,900.                        |                            |  |          |
|  | <b>b</b> STATE/LOCAL CONTRACTS  | 900099  | 5,647,516.           | 5,647,516.                         |                            |  |          |
|  | <b>c</b> SPEC. PROJECT/CONTR.   | 900099  | 3,405,666.           | 3,405,666.                         |                            |  |          |
|  | <b>d</b> CONF./TUITION FEES   | 900099  | 2,074,726.           | 2,074,726.                         |                            |  |          |
|  | <b>e</b> ASSOC. SERVICES FEES   | 900099  | 576,694.             | 576,694.                           |                            |  |          |
|  | <b>f</b> All other program service revenue  | 900099  | 14,085.              | 14,085.                            |                            |  |          |
|  | <b>g Total.</b> Add lines 2a-2f   |   |                      | 24,449,587.                        |                            |  |          |
|  |   |   |                      |                                    |                            |  |          |
| <b>Other Revenue</b>   | <b>3</b> Investment income (including dividends, interest, and other similar amounts)   |   | 213,967.             |                                    | 1,632.                     | 212,335.   |          |
|  | <b>4</b> Income from investment of tax-exempt bond proceeds   |   |                      |                                    |                            |  |          |
|  | <b>5</b> Royalties  |   |                      |                                    |                            |  |          |
|  | <b>6 a</b> Gross rents  | (i) Real  |                      |                                    |                            |  |          |
|  |   | (ii) Personal   |                      |                                    |                            |  |          |
|  |   | <b>b</b> Less: rental expenses                        |                      |                                    |                            |  |          |
|  |   | <b>c</b> Rental income or (loss)                      |                      |                                    |                            |  |          |
|  | <b>d</b> Net rental income or (loss)  |   |                      |                                    |                            |  |          |
|  | <b>7 a</b> Gross amount from sales of assets other than inventory   | (i) Securities  |                      |                                    |                            |  |          |
|  |   | (ii) Other  |                      |                                    |                            |  |          |
|  |   | <b>b</b> Less: cost or other basis and sales expenses |                      |                                    |                            |  |          |
|  |   | <b>c</b> Gain or (loss)                               |                      |                                    |                            |  |          |
|  | <b>d</b> Net gain or (loss)   |   |                      | 276,286.                           |                            |  | 276,286. |
|  | <b>8 a</b> Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c). See Part IV, line 18 | <b>a</b>  |                      |                                    |                            |  |          |
|  |   | <b>b</b> Less: direct expenses                        |                      |                                    |                            |  |          |
| <b>c</b> Net income or (loss) from fundraising events                |   |   |                      |                                    |                            |  |          |
| <b>9 a</b> Gross income from gaming activities. See Part IV, line 19 | <b>a</b>  |   |                      |                                    |                            |  |          |
|  | <b>b</b> Less: direct expenses  |   |                      |                                    |                            |  |          |
|  | <b>c</b> Net income or (loss) from gaming activities  |   |                      |                                    |                            |  |          |
| <b>10 a</b> Gross sales of inventory, less returns and allowances    | <b>a</b>  |   |                      |                                    |                            |  |          |
|  | <b>b</b> Less: cost of goods sold   |   |                      |                                    |                            |  |          |
|  | <b>c</b> Net income or (loss) from sales of inventory   |   |                      |                                    |                            |  |          |
| <b>Miscellaneous Revenue</b>   |   |   | <b>Business Code</b> |                                    |                            |  |          |
| <b>11 a</b> SUBLEASE INCOME  | 531390  |   | 98,779.              |                                    |                            | 98,779.  |          |
| <b>b</b>   |   |   |                      |                                    |                            |  |          |
| <b>c</b>   |   |   |                      |                                    |                            |  |          |
| <b>d</b> All other revenue   |   |   |                      |                                    |                            |  |          |
| <b>e Total.</b> Add lines 11a-11d                                    |   |   | 98,779.              |                                    |                            |  |          |
| <b>12 Total revenue.</b> See instructions.                           |   |   | 62,543,517.          | 24,449,587.                        | 1,632.                     | 587,400.   |          |

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

| <i>Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.</i>   | (A)<br>Total expenses | (B)<br>Program service expenses | (C)<br>Management and general expenses | (D)<br>Fundraising expenses |
|---|-----------------------|---------------------------------|--|-----------------------------|
| 1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21  |                       |                                 |  |                             |
| 2 Grants and other assistance to domestic individuals. See Part IV, line 22   |                       |                                 |  |                             |
| 3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16  |                       |                                 |  |                             |
| 4 Benefits paid to or for members   |                       |                                 |  |                             |
| 5 Compensation of current officers, directors, trustees, and key employees  | 1,751,774.            | 1,043,417.                      | 708,357.                               |                             |
| 6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)   |                       |                                 |  |                             |
| 7 Other salaries and wages  | 13,587,840.           | 12,828,841.                     | 572,414.                               | 186,585.                    |
| 8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)  | 1,233,168.            | 1,140,887.                      | 75,488.                                | 16,793.                     |
| 9 Other employee benefits   | 4,006,598.            | 3,760,027.                      | 187,703.                               | 58,868.                     |
| 10 Payroll taxes  | 204,039.              | 191,766.                        | 9,568.                                 | 2,705.                      |
| 11 Fees for services (non-employees):   |                       |                                 |  |                             |
| a Management  |                       |                                 |  |                             |
| b Legal   | 10,612.               | 10,612.                         |  |                             |
| c Accounting  | 87,791.               | 75,298.                         | 12,493.                                |                             |
| d Lobbying  | 63,600.               |                                 | 63,600.                                |                             |
| e Professional fundraising services. See Part IV, line 17   | 4,707.                |                                 |  | 4,707.                      |
| f Investment management fees  | 56,595.               | 6,921.                          | 49,674.                                |                             |
| g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)   | 5,804,035.            | 5,764,004.                      | 40,031.                                |                             |
| 12 Advertising and promotion  | 29,995.               |                                 |  | 29,995.                     |
| 13 Office expenses  | 1,296,017.            | 1,165,687.                      | 95,249.                                | 35,081.                     |
| 14 Information technology   | 463,634.              | 397,659.                        | 65,975.                                |                             |
| 15 Royalties  |                       |                                 |  |                             |
| 16 Occupancy  | 1,716,038.            | 1,454,529.                      | 261,509.                               |                             |
| 17 Travel   | 26,304,117.           | 26,282,173.                     | 16,361.                                | 5,583.                      |
| 18 Payments of travel or entertainment expenses for any federal, state, or local public officials   | 88,153.               | 86,054.                         | 2,099.                                 |                             |
| 19 Conferences, conventions, and meetings   | 3,968,687.            | 3,696,729.                      | 147,181.                               | 124,777.                    |
| 20 Interest   | 52,929.               | 47,603.                         | 5,326.                                 |                             |
| 21 Payments to affiliates   |                       |                                 |  |                             |
| 22 Depreciation, depletion, and amortization  | 747,820.              | 652,171.                        | 95,649.                                |                             |
| 23 Insurance  | 174,638.              | 156,542.                        | 18,096.                                |                             |
| 24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.) |                       |                                 |  |                             |
| a <b>BAD DEBT EXPENSES</b>  | 614,879.              | 614,879.                        |  |                             |
| b <b>CONTRACT PROCUREMENT</b>   | 380,245.              | 380,245.                        |  |                             |
| c <b>DUES AND SUBSCRIPTIONS</b>   | 250,882.              | 209,850.                        | 18,133.                                | 22,899.                     |
| d <b>LICENSE/FEES</b>   | 81,429.               | 68,111.                         | 5,886.                                 | 7,432.                      |
| e All other expenses  | 36,167.               | 26,140.                         | 1,082.                                 | 8,945.                      |
| 25 <b>Total functional expenses.</b> Add lines 1 through 24e  | 63,016,389.           | 60,060,145.                     | 2,451,874.                             | 504,370.                    |
| 26 <b>Joint costs.</b> Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.                              |                       |                                 |  |                             |

Check here  if following SOP 98-2 (ASC 958-720)

**Part X Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X

|   |  | (A)                    |             | (B)         |            |
|---|--|------------------------|-------------|-------------|------------|
|   |  | Beginning of year      |             | End of year |            |
| <b>Assets</b>   | <b>1</b> Cash - non-interest-bearing .....   | 1,687,616.             | <b>1</b>    | 6,802,015.  |            |
|   | <b>2</b> Savings and temporary cash investments .....  | 495,815.               | <b>2</b>    | 359,707.    |            |
|   | <b>3</b> Pledges and grants receivable, net .....  | 17,022,881.            | <b>3</b>    | 13,430,427. |            |
|   | <b>4</b> Accounts receivable, net .....  |                        | <b>4</b>    |             |            |
|   | <b>5</b> Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L .....   |                        | <b>5</b>    |             |            |
|   | <b>6</b> Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L ..... |                        | <b>6</b>    |             |            |
|   | <b>7</b> Notes and loans receivable, net .....   |                        | <b>7</b>    |             |            |
|   | <b>8</b> Inventories for sale or use .....   |                        | <b>8</b>    |             |            |
|   | <b>9</b> Prepaid expenses and deferred charges .....   | 1,494,972.             | <b>9</b>    | 896,296.    |            |
|   | <b>10a</b> Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D .....   | <b>10a</b> 20,354,418. |             |             |            |
|   | <b>b</b> Less: accumulated depreciation .....  | <b>10b</b> 12,809,290. | 7,889,570.  | <b>10c</b>  | 7,545,128. |
|   | <b>11</b> Investments - publicly traded securities .....   | 721,563.               | <b>11</b>   | 772,119.    |            |
|   | <b>12</b> Investments - other securities. See Part IV, line 11 .....   | 9,045,077.             | <b>12</b>   | 9,757,752.  |            |
|   | <b>13</b> Investments - program-related. See Part IV, line 11 .....  |                        | <b>13</b>   |             |            |
|   | <b>14</b> Intangible assets .....  |                        | <b>14</b>   |             |            |
|   | <b>15</b> Other assets. See Part IV, line 11 .....   | 109,754.               | <b>15</b>   | 138,056.    |            |
| <b>16 Total assets.</b> Add lines 1 through 15 (must equal line 34) ..... | 38,467,248.  | <b>16</b>              | 39,701,500. |             |            |
| <b>Liabilities</b>  | <b>17</b> Accounts payable and accrued expenses .....  | 3,980,202.             | <b>17</b>   | 3,585,279.  |            |
|   | <b>18</b> Grants payable .....   |                        | <b>18</b>   |             |            |
|   | <b>19</b> Deferred revenue .....   | 9,378,138.             | <b>19</b>   | 10,826,213. |            |
|   | <b>20</b> Tax-exempt bond liabilities .....  | 1,293,701.             | <b>20</b>   | 823,234.    |            |
|   | <b>21</b> Escrow or custodial account liability. Complete Part IV of Schedule D .....  |                        | <b>21</b>   |             |            |
|   | <b>22</b> Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L .....   |                        | <b>22</b>   |             |            |
|   | <b>23</b> Secured mortgages and notes payable to unrelated third parties .....   | 1,185,834.             | <b>23</b>   | 1,115,834.  |            |
|   | <b>24</b> Unsecured notes and loans payable to unrelated third parties .....   | 385,955.               | <b>24</b>   | 310,733.    |            |
|   | <b>25</b> Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D .....  | 523,230.               | <b>25</b>   | 540,900.    |            |
|   | <b>26 Total liabilities.</b> Add lines 17 through 25 .....   | 16,747,060.            | <b>26</b>   | 17,202,193. |            |
| <b>Net Assets or Fund Balances</b>  | <b>Organizations that follow SFAS 117 (ASC 958), check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 29, and lines 33 and 34.</b>  |                        |             |             |            |
|   | <b>27</b> Unrestricted net assets .....  | 20,401,298.            | <b>27</b>   | 20,964,119. |            |
|   | <b>28</b> Temporarily restricted net assets .....  | 989,100.               | <b>28</b>   | 1,205,398.  |            |
|   | <b>29</b> Permanently restricted net assets .....  | 329,790.               | <b>29</b>   | 329,790.    |            |
|   | <b>Organizations that do not follow SFAS 117 (ASC 958), check here</b> <input type="checkbox"/> <b>and complete lines 30 through 34.</b>   |                        |             |             |            |
|   | <b>30</b> Capital stock or trust principal, or current funds .....   |                        | <b>30</b>   |             |            |
|   | <b>31</b> Paid-in or capital surplus, or land, building, or equipment fund .....   |                        | <b>31</b>   |             |            |
|   | <b>32</b> Retained earnings, endowment, accumulated income, or other funds .....   |                        | <b>32</b>   |             |            |
|   | <b>33</b> Total net assets or fund balances .....  | 21,720,188.            | <b>33</b>   | 22,499,307. |            |
|   | <b>34</b> Total liabilities and net assets/fund balances .....   | 38,467,248.            | <b>34</b>   | 39,701,500. |            |

**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI

|    |  |    |             |
|----|--|----|-------------|
| 1  | Total revenue (must equal Part VIII, column (A), line 12)  | 1  | 62,543,517. |
| 2  | Total expenses (must equal Part IX, column (A), line 25)   | 2  | 63,016,389. |
| 3  | Revenue less expenses. Subtract line 2 from line 1   | 3  | -472,872.   |
| 4  | Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))                      | 4  | 21,720,188. |
| 5  | Net unrealized gains (losses) on investments   | 5  | 1,251,991.  |
| 6  | Donated services and use of facilities   | 6  |             |
| 7  | Investment expenses  | 7  |             |
| 8  | Prior period adjustments   | 8  |             |
| 9  | Other changes in net assets or fund balances (explain in Schedule O)   | 9  | 0.          |
| 10 | Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B)) | 10 | 22,499,307. |

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII

|  | Yes | No |
|--|-----|----|
| 1 Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____<br>If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.  |     |    |
| 2a Were the organization's financial statements compiled or reviewed by an independent accountant? _____<br>If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:<br><input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis |     | X  |
| b Were the organization's financial statements audited by an independent accountant? _____<br>If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:<br><input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis                 | X   |    |
| c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? _____<br>If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.  | X   |    |
| 3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? _____  | X   |    |
| b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits _____   | X   |    |

Form 990 (2017)

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.  
▶ Attach to Form 990 or Form 990-EZ.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No. 1545-0047

**2017**

Open to Public  
Inspection

Name of the organization **NATIONAL CENTER FOR STATE COURTS** Employer identification number **52-0914250**

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1  A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2  A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E (Form 990 or 990-EZ).)
- 3  A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4  A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: \_\_\_\_\_
- 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6  A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8  A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9  An agricultural research organization described in **section 170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university: \_\_\_\_\_
- 10  An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 11  An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 12  An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
  - a  **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
  - b  **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
  - c  **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
  - d  **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
  - e  Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
  - f Enter the number of supported organizations .....
  - g Provide the following information about the supported organization(s).

| (i) Name of supported organization | (ii) EIN | (iii) Type of organization (described on lines 1-10 above (see instructions)) | (iv) Is the organization listed in your governing document? |    | (v) Amount of monetary support (see instructions) | (vi) Amount of other support (see instructions) |
|------------------------------------|----------|---|---|----|---|---|
|                                    |          |   | Yes   | No |   |   |
|                                    |          |   |   |    |   |   |
|                                    |          |   |   |    |   |   |
|                                    |          |   |   |    |   |   |
|                                    |          |   |   |    |   |   |
|                                    |          |   |   |    |   |   |
|                                    |          |   |   |    |   |   |
|                                    |          |   |   |    |   |   |
|                                    |          |   |   |    |   |   |
|                                    |          |   |   |    |   |   |
| <b>Total</b>                       |          |   |   |    |   |   |



**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

| Calendar year (or fiscal year beginning in) ▶  | (a) 2013    | (b) 2014    | (c) 2015    | (d) 2016    | (e) 2017    | (f) Total    |
|--|-------------|-------------|-------------|-------------|-------------|--------------|
| <b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .....  | 14,866,279. | 19,062,727. | 20,058,000. | 36,446,056. | 37,504,898. | 127,937,960. |
| <b>2</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf .....   |             |             |             |             |             |              |
| <b>3</b> The value of services or facilities furnished by a governmental unit to the organization without charge ...   |             |             |             |             |             |              |
| <b>4 Total.</b> Add lines 1 through 3 .....  | 14,866,279. | 19,062,727. | 20,058,000. | 36,446,056. | 37,504,898. | 127,937,960. |
| <b>5</b> The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) ..... |             |             |             |             |             |              |
| <b>6 Public support.</b> Subtract line 5 from line 4.  |             |             |             |             |             | 127,937,960. |

**Section B. Total Support**

| Calendar year (or fiscal year beginning in) ▶  | (a) 2013    | (b) 2014    | (c) 2015    | (d) 2016    | (e) 2017    | (f) Total                |
|--|-------------|-------------|-------------|-------------|-------------|--------------------------|
| <b>7</b> Amounts from line 4 .....   | 14,866,279. | 19,062,727. | 20,058,000. | 36,446,056. | 37,504,898. | 127,937,960.             |
| <b>8</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources ...   | 170,106.    | 250,547.    | 161,908.    | 122,855.    | 311,114.    | 1,016,530.               |
| <b>9</b> Net income from unrelated business activities, whether or not the business is regularly carried on ...  |             | 20,979.     |             |             |             | 20,979.                  |
| <b>10</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) .....  |             |             |             |             |             |                          |
| <b>11 Total support.</b> Add lines 7 through 10  |             |             |             |             |             | 128,975,469.             |
| <b>12</b> Gross receipts from related activities, etc. (see instructions) .....  |             |             |             |             | 12          | 143,302,116.             |
| <b>13 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> ..... |             |             |             |             |             | <input type="checkbox"/> |

**Section C. Computation of Public Support Percentage**

|   |                                     |         |
|---|-------------------------------------|---------|
| <b>14</b> Public support percentage for 2017 (line 6, column (f) divided by line 11, column (f)) .....  | <b>14</b>                           | 99.20 % |
| <b>15</b> Public support percentage from 2016 Schedule A, Part II, line 14 .....  | <b>15</b>                           | 99.14 % |
| <b>16a 33 1/3% support test - 2017.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization .....  | <input checked="" type="checkbox"/> |         |
| <b>b 33 1/3% support test - 2016.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization .....   | <input type="checkbox"/>            |         |
| <b>17a 10% -facts-and-circumstances test - 2017.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization .....    | <input type="checkbox"/>            |         |
| <b>b 10% -facts-and-circumstances test - 2016.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ..... | <input type="checkbox"/>            |         |
| <b>18 Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions .....  | <input type="checkbox"/>            |         |

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

| Calendar year (or fiscal year beginning in) ►   | (a) 2013 | (b) 2014 | (c) 2015 | (d) 2016 | (e) 2017 | (f) Total |
|---|----------|----------|----------|----------|----------|-----------|
| <b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .....   |          |          |          |          |          |           |
| <b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose ..... |          |          |          |          |          |           |
| <b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513 .....   |          |          |          |          |          |           |
| <b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf .....  |          |          |          |          |          |           |
| <b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge .....  |          |          |          |          |          |           |
| <b>6 Total.</b> Add lines 1 through 5 .....   |          |          |          |          |          |           |
| <b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons .....  |          |          |          |          |          |           |
| <b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year .....           |          |          |          |          |          |           |
| <b>c</b> Add lines 7a and 7b .....  |          |          |          |          |          |           |
| <b>8 Public support.</b> (Subtract line 7c from line 6.)  |          |          |          |          |          |           |

**Section B. Total Support**

| Calendar year (or fiscal year beginning in) ►  | (a) 2013 | (b) 2014 | (c) 2015 | (d) 2016 | (e) 2017 | (f) Total |
|--|----------|----------|----------|----------|----------|-----------|
| <b>9</b> Amounts from line 6 .....   |          |          |          |          |          |           |
| <b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources ..... |          |          |          |          |          |           |
| <b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 .....                           |          |          |          |          |          |           |
| <b>c</b> Add lines 10a and 10b .....   |          |          |          |          |          |           |
| <b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on .....      |          |          |          |          |          |           |
| <b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) .....                                  |          |          |          |          |          |           |
| <b>13 Total support.</b> (Add lines 9, 10c, 11, and 12.)   |          |          |          |          |          |           |

**14 First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here**

**Section C. Computation of Public Support Percentage**

|  |           |   |
|--|-----------|---|
| <b>15</b> Public support percentage for 2017 (line 8, column (f) divided by line 13, column (f)) ..... | <b>15</b> | % |
| <b>16</b> Public support percentage from 2016 Schedule A, Part III, line 15 .....                      | <b>16</b> | % |

**Section D. Computation of Investment Income Percentage**

|   |           |   |
|---|-----------|---|
| <b>17</b> Investment income percentage for 2017 (line 10c, column (f) divided by line 13, column (f)) ..... | <b>17</b> | % |
| <b>18</b> Investment income percentage from 2016 Schedule A, Part III, line 17 .....                        | <b>18</b> | % |

**19a 33 1/3% support tests - 2017.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization

**b 33 1/3% support tests - 2016.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization

**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions



**Part IV Supporting Organizations**

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

|  | Yes | No |
|--|-----|----|
| <b>1</b> Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>  |     |    |
| <b>2</b> Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>   |     |    |
| <b>3a</b> Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i>   |     |    |
| <b>b</b> Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>   |     |    |
| <b>c</b> Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>  |     |    |
| <b>4a</b> Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.</i>  |     |    |
| <b>b</b> Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>  |     |    |
| <b>c</b> Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>   |     |    |
| <b>5a</b> Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i> |     |    |
| <b>b Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?   |     |    |
| <b>c Substitutions only.</b> Was the substitution the result of an event beyond the organization's control?  |     |    |
| <b>6</b> Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>  |     |    |
| <b>7</b> Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>   |     |    |
| <b>8</b> Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>  |     |    |
| <b>9a</b> Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>  |     |    |
| <b>b</b> Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>  |     |    |
| <b>c</b> Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>   |     |    |
| <b>10a</b> Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer 10b below.</i>   |     |    |
| <b>b</b> Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)</i>   |     |    |

**Part IV Supporting Organizations** (continued)

|  | Yes | No |
|--|-----|----|
| <b>11</b> Has the organization accepted a gift or contribution from any of the following persons?  |     |    |
| <b>a</b> A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization? |     |    |
| <b>b</b> A family member of a person described in (a) above?   |     |    |
| <b>c</b> A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.   |     |    |
| <b>11a</b>   |     |    |
| <b>11b</b>   |     |    |
| <b>11c</b>   |     |    |

**Section B. Type I Supporting Organizations**

|  | Yes | No |
|--|-----|----|
| <b>1</b> Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year. |     |    |
| <b>2</b> Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.   |     |    |
| <b>1</b>   |     |    |
| <b>2</b>   |     |    |

**Section C. Type II Supporting Organizations**

|   | Yes | No |
|---|-----|----|
| <b>1</b> Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s). |     |    |
| <b>1</b>  |     |    |

**Section D. All Type III Supporting Organizations**

|   | Yes | No |
|---|-----|----|
| <b>1</b> Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided? |     |    |
| <b>2</b> Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).  |     |    |
| <b>3</b> By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.   |     |    |
| <b>1</b>  |     |    |
| <b>2</b>  |     |    |
| <b>3</b>  |     |    |

**Section E. Type III Functionally Integrated Supporting Organizations**

|  |  |  |
|--|--|--|
| <b>1</b> Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).   |  |  |
| <b>a</b> <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.   |  |  |
| <b>b</b> <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.  |  |  |
| <b>c</b> <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).  |  |  |
| <b>2</b> Activities Test. Answer (a) and (b) below.  |  |  |
| <b>a</b> Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities. |  |  |
| <b>b</b> Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.  |  |  |
| <b>3</b> Parent of Supported Organizations. Answer (a) and (b) below.  |  |  |
| <b>a</b> Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI.  |  |  |
| <b>b</b> Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.   |  |  |
| <b>2a</b>  |  |  |
| <b>2b</b>  |  |  |
| <b>3a</b>  |  |  |
| <b>3b</b>  |  |  |

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

1  Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI.) **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

| <b>Section A - Adjusted Net Income</b> |  | (A) Prior Year | (B) Current Year (optional) |
|--|--|----------------|-----------------------------|
| 1                                      | Net short-term capital gain  | 1              |                             |
| 2                                      | Recoveries of prior-year distributions   | 2              |                             |
| 3                                      | Other gross income (see instructions)  | 3              |                             |
| 4                                      | Add lines 1 through 3  | 4              |                             |
| 5                                      | Depreciation and depletion   | 5              |                             |
| 6                                      | Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions) | 6              |                             |
| 7                                      | Other expenses (see instructions)  | 7              |                             |
| 8                                      | <b>Adjusted Net Income</b> (subtract lines 5, 6, and 7 from line 4)  | 8              |                             |

| <b>Section B - Minimum Asset Amount</b> |   | (A) Prior Year | (B) Current Year (optional) |
|---|---|----------------|-----------------------------|
| 1                                       | Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year): |                |                             |
| a                                       | Average monthly value of securities   | 1a             |                             |
| b                                       | Average monthly cash balances   | 1b             |                             |
| c                                       | Fair market value of other non-exempt-use assets  | 1c             |                             |
| d                                       | <b>Total</b> (add lines 1a, 1b, and 1c)   | 1d             |                             |
| e                                       | <b>Discount</b> claimed for blockage or other factors (explain in detail in <b>Part VI</b> ):                                   |                |                             |
| 2                                       | Acquisition indebtedness applicable to non-exempt-use assets  | 2              |                             |
| 3                                       | Subtract line 2 from line 1d  | 3              |                             |
| 4                                       | Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions)                                  | 4              |                             |
| 5                                       | Net value of non-exempt-use assets (subtract line 4 from line 3)  | 5              |                             |
| 6                                       | Multiply line 5 by .035   | 6              |                             |
| 7                                       | Recoveries of prior-year distributions  | 7              |                             |
| 8                                       | <b>Minimum Asset Amount</b> (add line 7 to line 6)  | 8              |                             |

| <b>Section C - Distributable Amount</b> |   |   | Current Year |
|---|---|---|--------------|
| 1                                       | Adjusted net income for prior year (from Section A, line 8, Column A)   | 1 |              |
| 2                                       | Enter 85% of line 1   | 2 |              |
| 3                                       | Minimum asset amount for prior year (from Section B, line 8, Column A)  | 3 |              |
| 4                                       | Enter greater of line 2 or line 3   | 4 |              |
| 5                                       | Income tax imposed in prior year  | 5 |              |
| 6                                       | <b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)  | 6 |              |
| 7                                       | <input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions). |   |              |

**Part V** Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

| Section D - Distributions   | Current Year |
|---|--------------|
| <b>1</b> Amounts paid to supported organizations to accomplish exempt purposes  |              |
| <b>2</b> Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity              |              |
| <b>3</b> Administrative expenses paid to accomplish exempt purposes of supported organizations  |              |
| <b>4</b> Amounts paid to acquire exempt-use assets  |              |
| <b>5</b> Qualified set-aside amounts (prior IRS approval required)  |              |
| <b>6</b> Other distributions (describe in <b>Part VI</b> ). See instructions.   |              |
| <b>7 Total annual distributions.</b> Add lines 1 through 6.   |              |
| <b>8</b> Distributions to attentive supported organizations to which the organization is responsive (provide details in <b>Part VI</b> ). See instructions. |              |
| <b>9</b> Distributable amount for 2017 from Section C, line 6   |              |
| <b>10</b> Line 8 amount divided by line 9 amount  |              |

| Section E - Distribution Allocations (see instructions)  | (i)<br>Excess Distributions | (ii)<br>Underdistributions<br>Pre-2017 | (iii)<br>Distributable<br>Amount for 2017 |
|--|-----------------------------|--|---|
| <b>1</b> Distributable amount for 2017 from Section C, line 6  |                             |  |   |
| <b>2</b> Underdistributions, if any, for years prior to 2017 (reasonable cause required- explain in <b>Part VI</b> ). See instructions.  |                             |  |   |
| <b>3</b> Excess distributions carryover, if any, to 2017   |                             |  |   |
| <b>a</b>   |                             |  |   |
| <b>b</b> From 2013   |                             |  |   |
| <b>c</b> From 2014   |                             |  |   |
| <b>d</b> From 2015   |                             |  |   |
| <b>e</b> From 2016   |                             |  |   |
| <b>f Total</b> of lines 3a through e   |                             |  |   |
| <b>g</b> Applied to underdistributions of prior years  |                             |  |   |
| <b>h</b> Applied to 2017 distributable amount  |                             |  |   |
| <b>i</b> Carryover from 2012 not applied (see instructions)  |                             |  |   |
| <b>j</b> Remainder. Subtract lines 3g, 3h, and 3i from 3f.   |                             |  |   |
| <b>4</b> Distributions for 2017 from Section D, line 7: \$   |                             |  |   |
| <b>a</b> Applied to underdistributions of prior years  |                             |  |   |
| <b>b</b> Applied to 2017 distributable amount  |                             |  |   |
| <b>c</b> Remainder. Subtract lines 4a and 4b from 4.   |                             |  |   |
| <b>5</b> Remaining underdistributions for years prior to 2017, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in <b>Part VI</b> . See instructions. |                             |  |   |
| <b>6</b> Remaining underdistributions for 2017. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in <b>Part VI</b> . See instructions.                        |                             |  |   |
| <b>7 Excess distributions carryover to 2018.</b> Add lines 3j and 4c.  |                             |  |   |
| <b>8</b> Breakdown of line 7:  |                             |  |   |
| <b>a</b> Excess from 2013  |                             |  |   |
| <b>b</b> Excess from 2014  |                             |  |   |
| <b>c</b> Excess from 2015  |                             |  |   |
| <b>d</b> Excess from 2016  |                             |  |   |
| <b>e</b> Excess from 2017  |                             |  |   |

Schedule A (Form 990 or 990-EZ) 2017

**Part VI** **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

Multiple horizontal lines for supplemental information.

**COPY**

**Schedule B**

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No. 1545-0047

**2017**

Name of the organization

NATIONAL CENTER FOR STATE COURTS

Employer identification number

52-0914250

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)( 3 ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ..... ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2017)

|   |   |
|---|---|
| Name of organization<br><b>NATIONAL CENTER FOR STATE COURTS</b> | Employer identification number<br><b>52-0914250</b> |
|---|---|

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------|-----------------------------------|----------------------------|---|
| 1          | _____<br>_____<br>_____           | \$ <u>25,040,379.</u>      | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 3          | _____<br>_____<br>_____           | \$ <u>3,131,191.</u>       | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 2          | _____<br>_____<br>_____           | \$ <u>1,192,508.</u>       | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| _____      | _____<br>_____<br>_____           | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |
| _____      | _____<br>_____<br>_____           | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |
| _____      | _____<br>_____<br>_____           | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |

|   |   |
|---|---|
| Name of organization<br><br><b>NATIONAL CENTER FOR STATE COURTS</b> | Employer identification number<br><br><b>52-0914250</b> |
|---|---|

**Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a)<br>No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(See instructions.) | (d)<br>Date received |
|------------------------------|--|---|----------------------|
|                              |  | \$ _____  | _____                |
|                              |  | \$ _____  | _____                |
|                              |  | \$ _____  | _____                |
|                              |  | \$ _____  | _____                |
|                              |  | \$ _____  | _____                |
|                              |  | \$ _____  | _____                |
|                              |  | \$ _____  | _____                |



|   |   |
|---|---|
| Name of organization<br><br><b>NATIONAL CENTER FOR STATE COURTS</b> | Employer identification number<br><br><b>52-0914250</b> |
|---|---|

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info. once.) ▶ \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed.

| (a) No. from Part I                     | (b) Purpose of gift | (c) Use of gift                          | (d) Description of how gift is held |
|---|---------------------|--|-------------------------------------|
|   |                     |  |                                     |
| <b>(e) Transfer of gift</b>             |                     |  |                                     |
| Transferee's name, address, and ZIP + 4 |                     | Relationship of transferor to transferee |                                     |
|   |                     |  |                                     |
|   |                     |  |                                     |
| <b>(e) Transfer of gift</b>             |                     |  |                                     |
| Transferee's name, address, and ZIP + 4 |                     | Relationship of transferor to transferee |                                     |
|   |                     |  |                                     |
|   |                     |  |                                     |
| <b>(e) Transfer of gift</b>             |                     |  |                                     |
| Transferee's name, address, and ZIP + 4 |                     | Relationship of transferor to transferee |                                     |
|   |                     |  |                                     |
|   |                     |  |                                     |
| <b>(e) Transfer of gift</b>             |                     |  |                                     |
| Transferee's name, address, and ZIP + 4 |                     | Relationship of transferor to transferee |                                     |
|   |                     |  |                                     |
|   |                     |  |                                     |
| <b>(e) Transfer of gift</b>             |                     |  |                                     |
| Transferee's name, address, and ZIP + 4 |                     | Relationship of transferor to transferee |                                     |
|   |                     |  |                                     |
|   |                     |  |                                     |

**SCHEDULE C**  
**(Form 990 or 990-EZ)**

**Political Campaign and Lobbying Activities**

OMB No. 1545-0047

**2017**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

For Organizations Exempt From Income Tax Under section 501(c) and section 527  
 ▶ **Complete if the organization is described below. ▶ Attach to Form 990 or Form 990-EZ.**  
 ▶ **Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.**

**If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then**

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

**If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then**

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

**If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then**

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

|   |   |
|---|---|
| Name of organization<br><b>NATIONAL CENTER FOR STATE COURTS</b> | Employer identification number<br><b>52-0914250</b> |
|---|---|

**Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.**

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.

2 Political campaign activity expenditures ..... ▶ \$ \_\_\_\_\_

3 Volunteer hours for political campaign activities ..... \_\_\_\_\_

**Part I-B Complete if the organization is exempt under section 501(c)(3).**

1 Enter the amount of any excise tax incurred by the organization under section 4955 ..... ▶ \$ \_\_\_\_\_

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ..... ▶ \$ \_\_\_\_\_

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? .....  Yes  No

4a Was a correction made? .....  Yes  No

b If "Yes," describe in Part IV.

**Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).**

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ..... ▶ \$ \_\_\_\_\_

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ..... ▶ \$ \_\_\_\_\_

3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ..... ▶ \$ \_\_\_\_\_

4 Did the filing organization file **Form 1120-POL** for this year? .....  Yes  No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

| (a) Name | (b) Address | (c) EIN | (d) Amount paid from filing organization's funds. If none, enter -0-. | (e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-. |
|----------|-------------|---------|---|--|
|          |             |         |   |  |
|          |             |         |   |  |
|          |             |         |   |  |
|          |             |         |   |  |
|          |             |         |   |  |
|          |             |         |   |  |
|          |             |         |   |  |
|          |             |         |   |  |
|          |             |         |   |  |
|          |             |         |   |  |

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**Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

- A** Check  if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).
- B** Check  if the filing organization checked box A and "limited control" provisions apply.

| <b>Limits on Lobbying Expenditures</b><br>(The term "expenditures" means amounts paid or incurred.)  | (a) Filing organization's totals                   | (b) Affiliated group totals        |                    |                               |   |  |   |  |  |   |                   |              |  |  |
|--|--|------------------------------------|--------------------|-------------------------------|---|--|---|--|--|---|-------------------|--------------|--|--|
| <b>1a</b> Total lobbying expenditures to influence public opinion (grass roots lobbying) .....   |  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <b>b</b> Total lobbying expenditures to influence a legislative body (direct lobbying) .....   |  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <b>c</b> Total lobbying expenditures (add lines 1a and 1b) .....   |  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <b>d</b> Other exempt purpose expenditures .....   |  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <b>e</b> Total exempt purpose expenditures (add lines 1c and 1d) .....   |  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <b>f</b> Lobbying nontaxable amount. Enter the amount from the following table in both columns.  |  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:35%;">If the amount on line 1e, column (a) or (b) is:</th> <th style="width:65%;">The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table> | If the amount on line 1e, column (a) or (b) is:    | The lobbying nontaxable amount is: | Not over \$500,000 | 20% of the amount on line 1e. | Over \$500,000 but not over \$1,000,000 | \$100,000 plus 15% of the excess over \$500,000. | Over \$1,000,000 but not over \$1,500,000 | \$175,000 plus 10% of the excess over \$1,000,000. | Over \$1,500,000 but not over \$17,000,000 | \$225,000 plus 5% of the excess over \$1,500,000. | Over \$17,000,000 | \$1,000,000. |  |  |
| If the amount on line 1e, column (a) or (b) is:  | The lobbying nontaxable amount is:                 |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| Not over \$500,000   | 20% of the amount on line 1e.                      |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| Over \$500,000 but not over \$1,000,000  | \$100,000 plus 15% of the excess over \$500,000.   |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| Over \$1,000,000 but not over \$1,500,000  | \$175,000 plus 10% of the excess over \$1,000,000. |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| Over \$1,500,000 but not over \$17,000,000   | \$225,000 plus 5% of the excess over \$1,500,000.  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| Over \$17,000,000  | \$1,000,000.                                       |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <b>g</b> Grassroots nontaxable amount (enter 25% of line 1f) .....   |  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <b>h</b> Subtract line 1g from line 1a. If zero or less, enter -0- .....   |  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <b>i</b> Subtract line 1f from line 1c. If zero or less, enter -0- .....   |  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <b>j</b> If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? .....   | <input type="checkbox"/> Yes                       | <input type="checkbox"/> No        |                    |                               |   |  |   |  |  |   |                   |              |  |  |

**4-Year Averaging Period Under section 501(h)**  
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

| <b>Lobbying Expenditures During 4-Year Averaging Period</b>         |          |          |          |          |           |
|---|----------|----------|----------|----------|-----------|
| Calendar year<br>(or fiscal year beginning in)                      | (a) 2014 | (b) 2015 | (c) 2016 | (d) 2017 | (e) Total |
| <b>2a</b> Lobbying nontaxable amount                                |          |          |          |          |           |
| <b>b</b> Lobbying ceiling amount<br>(150% of line 2a, column(e))    |          |          |          |          |           |
| <b>c</b> Total lobbying expenditures                                |          |          |          |          |           |
| <b>d</b> Grassroots nontaxable amount                               |          |          |          |          |           |
| <b>e</b> Grassroots ceiling amount<br>(150% of line 2d, column (e)) |          |          |          |          |           |
| <b>f</b> Grassroots lobbying expenditures                           |          |          |          |          |           |

**Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

|  | (a) |    | (b)     |
|--|-----|----|---------|
|  | Yes | No | Amount  |
| <i>For each "Yes," response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.</i>  |     |    |         |
| <b>1</b> During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of: |     |    |         |
| <b>a</b> Volunteers?   |     | X  |         |
| <b>b</b> Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?  |     | X  |         |
| <b>c</b> Media advertisements?   |     | X  |         |
| <b>d</b> Mailings to members, legislators, or the public?  |     | X  |         |
| <b>e</b> Publications, or published or broadcast statements?   |     | X  |         |
| <b>f</b> Grants to other organizations for lobbying purposes?  |     | X  |         |
| <b>g</b> Direct contact with legislators, their staffs, government officials, or a legislative body?   | X   |    | 63,600. |
| <b>h</b> Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?   |     | X  |         |
| <b>i</b> Other activities?   |     | X  |         |
| <b>j</b> Total. Add lines 1c through 1i  |     |    | 63,600. |
| <b>2a</b> Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?  |     | X  |         |
| <b>b</b> If "Yes," enter the amount of any tax incurred under section 4912   |     |    |         |
| <b>c</b> If "Yes," enter the amount of any tax incurred by organization managers under section 4912  |     |    |         |
| <b>d</b> If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?  |     |    |         |

**Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

|  | Yes | No |
|--|-----|----|
| <b>1</b> Were substantially all (90% or more) dues received nondeductible by members?  | 1   |    |
| <b>2</b> Did the organization make only in-house lobbying expenditures of \$2,000 or less?                                   | 2   |    |
| <b>3</b> Did the organization agree to carry over lobbying and political campaign activity expenditures from the prior year? | 3   |    |

**Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."**

|   |    |  |
|---|----|--|
| <b>1</b> Dues, assessments and similar amounts from members   | 1  |  |
| <b>2</b> Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).   |    |  |
| <b>a</b> Current year   | 2a |  |
| <b>b</b> Carryover from last year   | 2b |  |
| <b>c</b> Total  | 2c |  |
| <b>3</b> Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues  | 3  |  |
| <b>4</b> If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year? | 4  |  |
| <b>5</b> Taxable amount of lobbying and political expenditures (see instructions)   | 5  |  |

**Part IV Supplemental Information**

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions); and Part II-B, line 1. Also, complete this part for any additional information.

**PART II-B, LINE 1, LOBBYING ACTIVITIES:**

THE NATIONAL CENTER ENGAGED CONSULTANTS TO EDUCATE CONGRESS ABOUT THE WORK OF THE NATIONAL CENTER. THE CONSULTANTS CONTACT CONGRESSIONAL LEGISLATIVE STAFF TO REQUEST THAT THEY SUPPORT BUDGET REPORT LANGUAGE WHICH ENCOURAGES SPENDING ON RULE OF LAW PROJECTS.

SCHEDULE D (Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements

Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b. Attach to Form 990.

Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2017

Open to Public Inspection

Name of the organization: NATIONAL CENTER FOR STATE COURTS; Employer identification number: 52-0914250

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

Table with 3 columns: Question, (a) Donor advised funds, (b) Funds and other accounts. Rows include total number at end of year, aggregate values, and yes/no questions about donor property and grant fund usage.

Part II Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

Table with 3 columns: Question, (a) Donor advised funds, (b) Funds and other accounts. Rows include purpose of easements, total number, acreage, and monitoring expenses.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

Table with 3 columns: Question, (a) Donor advised funds, (b) Funds and other accounts. Rows include reporting requirements for art and historical treasures.

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**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- a  Public exhibition
- b  Scholarly research
- c  Preservation for future generations
- d  Loan or exchange programs
- e  Other \_\_\_\_\_

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?  Yes  No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?  Yes  No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

|                                 | Amount |
|---------------------------------|--------|
| c Beginning balance             | 1c     |
| d Additions during the year     | 1d     |
| e Distributions during the year | 1e     |
| f Ending balance                | 1f     |

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?  Yes  No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII

**Part V Endowment Funds.** Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

|  | (a) Current year | (b) Prior year | (c) Two years back | (d) Three years back | (e) Four years back |
|--|------------------|----------------|--------------------|----------------------|---------------------|
| 1a Beginning of year balance                     | 4,577,756.       | 4,226,173.     | 4,432,984.         | 5,449,207.           | 6,110,462.          |
| b Contributions                                  |                  |                |                    | 4,236.               |                     |
| c Net investment earnings, gains, and losses     | 785,932.         | 372,822.       | -117,653.          | 42,634.              | 953,420.            |
| d Grants or scholarships                         |                  |                |                    |                      |                     |
| e Other expenditures for facilities and programs | 402,834.         | 21,239.        | 89,158.            | 1,063,093.           | 1,614,675.          |
| f Administrative expenses                        |                  |                |                    |                      |                     |
| g End of year balance                            | 4,960,854.       | 4,577,756.     | 4,226,173.         | 4,432,984.           | 5,449,207.          |

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a Board designated or quasi-endowment  69.05 %
- b Permanent endowment  6.65 %
- c Temporarily restricted endowment  24.30 %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

|                             | Yes | No |
|-----------------------------|-----|----|
| (i) unrelated organizations |     | X  |
| (ii) related organizations  |     | X  |

b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

| Description of property  | (a) Cost or other basis (investment) | (b) Cost or other basis (other) | (c) Accumulated depreciation | (d) Book value |
|--|--------------------------------------|---------------------------------|------------------------------|----------------|
| 1a Land  |                                      | 876,429.                        |                              | 876,429.       |
| b Buildings  |                                      | 12,756,742.                     | 7,395,032.                   | 5,361,710.     |
| c Leasehold improvements   |                                      |                                 |                              |                |
| d Equipment  |                                      | 2,702,190.                      | 2,156,428.                   | 545,762.       |
| e Other  |                                      | 4,019,057.                      | 3,257,830.                   | 761,227.       |
| <b>Total.</b> Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.) |                                      |                                 |                              | 7,545,128.     |

**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

| (a) Description of security or category (including name of security)      | (b) Book value | (c) Method of valuation: Cost or end-of-year market value |
|---|----------------|---|
| (1) Financial derivatives .....   |                |   |
| (2) Closely-held equity interests .....                                   |                |   |
| (3) Other   |                |   |
| (A) PRIVATE EQUITY FUNDS  | 5,401,796.     | END-OF-YEAR MARKET VALUE                                  |
| (B) REAL ASSETS   | 464,884.       | END-OF-YEAR MARKET VALUE                                  |
| (C) MULTI-ASSET CLASS FUND  | 3,891,072.     | END-OF-YEAR MARKET VALUE                                  |
| (D)   |                |   |
| (E)   |                |   |
| (F)   |                |   |
| (G)   |                |   |
| (H)   |                |   |
| <b>Total.</b> (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶ | 9,757,752.     |   |

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

| (a) Description of investment   | (b) Book value | (c) Method of valuation: Cost or end-of-year market value |
|---|----------------|---|
| (1)   |                |   |
| (2)   |                |   |
| (3)   |                |   |
| (4)   |                |   |
| (5)   |                |   |
| (6)   |                |   |
| (7)   |                |   |
| (8)   |                |   |
| (9)   |                |   |
| <b>Total.</b> (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶ |                |   |

**Part IX Other Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

| (a) Description   | (b) Book value |
|---|----------------|
| (1)   |                |
| (2)   |                |
| (3)   |                |
| (4)   |                |
| (5)   |                |
| (6)   |                |
| (7)   |                |
| (8)   |                |
| (9)   |                |
| <b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶ |                |

**Part X Other Liabilities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

| 1. (a) Description of liability   | (b) Book value |
|---|----------------|
| (1) Federal income taxes  |                |
| (2) OTHER CURRENT LIABILITIES   | 135,790.       |
| (3) DEPOSITS  | 14,427.        |
| (4) DEFERRED COMPENSATION   | 328,971.       |
| (5) DEFERRED LEASE  | 61,712.        |
| (6)   |                |
| (7)   |                |
| (8)   |                |
| (9)   |                |
| <b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶ | 540,900.       |

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

|          |  |           |            |             |
|----------|--|-----------|------------|-------------|
| <b>1</b> | Total revenue, gains, and other support per audited financial statements                       |           | <b>1</b>   | 63,696,729. |
| <b>2</b> | Amounts included on line 1 but not on Form 990, Part VIII, line 12:                            |           |            |             |
| <b>a</b> | Net unrealized gains (losses) on investments   | <b>2a</b> | 1,251,991. |             |
| <b>b</b> | Donated services and use of facilities   | <b>2b</b> |            |             |
| <b>c</b> | Recoveries of prior year grants  | <b>2c</b> |            |             |
| <b>d</b> | Other (Describe in Part XIII.)   | <b>2d</b> |            |             |
| <b>e</b> | Add lines <b>2a</b> through <b>2d</b>  |           | <b>2e</b>  | 1,251,991.  |
| <b>3</b> | Subtract line <b>2e</b> from line <b>1</b>   |           | <b>3</b>   | 62,444,738. |
| <b>4</b> | Amounts included on Form 990, Part VIII, line 12, but not on line 1:                           |           |            |             |
| <b>a</b> | Investment expenses not included on Form 990, Part VIII, line 7b                               | <b>4a</b> |            |             |
| <b>b</b> | Other (Describe in Part XIII.)   | <b>4b</b> | 98,779.    |             |
| <b>c</b> | Add lines <b>4a</b> and <b>4b</b>  |           | <b>4c</b>  | 98,779.     |
| <b>5</b> | Total revenue. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 12.) |           | <b>5</b>   | 62,543,517. |

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

|          |   |           |           |             |
|----------|---|-----------|-----------|-------------|
| <b>1</b> | Total expenses and losses per audited financial statements                                      |           | <b>1</b>  | 62,917,610. |
| <b>2</b> | Amounts included on line 1 but not on Form 990, Part IX, line 25:                               |           |           |             |
| <b>a</b> | Donated services and use of facilities  | <b>2a</b> |           |             |
| <b>b</b> | Prior year adjustments  | <b>2b</b> |           |             |
| <b>c</b> | Other losses  | <b>2c</b> |           |             |
| <b>d</b> | Other (Describe in Part XIII.)  | <b>2d</b> |           |             |
| <b>e</b> | Add lines <b>2a</b> through <b>2d</b>   |           | <b>2e</b> | 0.          |
| <b>3</b> | Subtract line <b>2e</b> from line <b>1</b>  |           | <b>3</b>  | 62,917,610. |
| <b>4</b> | Amounts included on Form 990, Part IX, line 25, but not on line 1:                              |           |           |             |
| <b>a</b> | Investment expenses not included on Form 990, Part VIII, line 7b                                | <b>4a</b> |           |             |
| <b>b</b> | Other (Describe in Part XIII.)  | <b>4b</b> | 98,779.   |             |
| <b>c</b> | Add lines <b>4a</b> and <b>4b</b>   |           | <b>4c</b> | 98,779.     |
| <b>5</b> | Total expenses. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 18.) |           | <b>5</b>  | 63,016,389. |

**Part XIII Supplemental Information.**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

**PART V, LINE 4:**

THE TEMPORARILY RESTRICTED NET ASSETS OF THE VIRGINIA ENDOWMENT FUND MAY BE USED TO CONDUCT STUDIES AND OTHER PROJECTS TO IMPROVE THE OPERATION OF THE COURTS OF VIRGINIA. THE TEMPORARILY RESTRICTED FUNDS FROM THE GOTTFRIED ENDOWMENT FUND MAY BE USED TO ESTABLISH FAIRNESS AND ABOLISH DISCRIMINATION IN THE COURTS. THE TEMPORARILY RESTRICTED FUNDS FROM THE SCHOLARSHIP ENDOWMENT MAY BE USED FOR THE NATIONAL CENTER CONFERENCE SCHOLARSHIPS. PERMANENTLY RESTRICTED ENDOWMENTS FUNDS ARE TO BE USED TO FUND NCSC CONSULTING WORK, FAIRNESS IN THE COURTS, STAFF RECOGNITION, AND/OR PROVISION OF SCHOLARSHIP FUNDING.

**PART X, LINE 2:**



**Part XIII** Supplemental Information (continued)

MANAGEMENT OF THE CENTER HAS EVALUATED ITS TAX POSITIONS FOR THE YEARS ENDED DECEMBER 31, 2017 AND 2016, IN ACCORDANCE WITH THE AUTHORITATIVE GUIDANCE RELATING TO ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES INCLUDED IN FASB ACCOUNTING STANDARDS CODIFICATION TOPIC 740, INCOME TAXES, AND HAS DETERMINED THAT THE CENTER HAS NO MATERIAL UNCERTAINTY IN INCOME TAXES; ACCORDINGLY, IT HAS NOT RECOGNIZED ANY LIABILITY FOR UNRECOGNIZED TAX.

PART XI, LINE 4B - OTHER ADJUSTMENTS:

SUBLEASE INCOME 98,779.

PART XII, LINE 4B - OTHER ADJUSTMENTS:

SUBLEASE INCOME 98,779.



**SCHEDULE F  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Statement of Activities Outside the United States**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.

▶ Attach to Form 990.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No. 1545-0047

**2017**

Open to Public Inspection

Name of the organization: **NATIONAL CENTER FOR STATE COURTS**  
Employer identification number: **52-0914250**

**Part I General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

**1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? .....  Yes  No

**2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

**3 Activities per Region.** (The following Part I, line 3 table can be duplicated if additional space is needed.)

| (a) Region  | (b) Number of offices in the region | (c) Number of employees, agents, and independent contractors in the region | (d) Activities conducted in the region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in the region | (f) Total expenditures for and investments in the region |
|---|-------------------------------------|--|--|--|--|
| EUROPE (INCLUDING ICELAND & GREENLAND)                  | 2                                   | 13   | PROGRAM SERVICES   | JUSTICE REFORM   | 1,796,204.   |
| CENTRAL AMERICA AND THE CARIBBEAN                       | 7                                   | 32   | PROGRAM SERVICES   | JUSTICE REFORM   | 23,738,044.  |
| MIDDLE EAST AND NORTH AFRICA                            | 2                                   | 7  | PROGRAM SERVICES   | JUSTICE REFORM   | 988,867.   |
| SUB-SAHARAN AFRICA                                      | 1                                   | 19   | PROGRAM SERVICES   | JUSTICE REFORM   | 1,620,711.   |
| SOUTH AMERICA   | 1                                   | 14   | PROGRAM SERVICES   | JUSTICE REFORM   | 7,817,144.   |
| SOUTH ASIA  | 1                                   | 14   | PROGRAM SERVICES   | JUSTICE REFORM   | 1,477,547.   |
| NORTH AMERICA   | 1                                   | 3  | PROGRAM SERVICES   | JUSTICE REFORM   | 63,037.  |
| <b>3 a</b> Sub-total .....                              | 15                                  | 102  |  |  | 37,501,554.  |
| <b>b</b> Total from continuation sheets to Part I ..... | 0                                   | 0  |  |  | 0.   |
| <b>c Totals</b> (add lines 3a and 3b) .....             | 15                                  | 102  |  |  | 37,501,554.  |

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2017

**Part II** Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

| 1<br>(a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of noncash assistance | (h) Description of noncash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|-------------------------------|--|------------|----------------------|--------------------------|---------------------------------|----------------------------------|---------------------------------------|---|
|                               |  |            |                      |                          |                                 |                                  |                                       |   |
|                               |  |            |                      |                          |                                 |                                  |                                       |   |
|                               |  |            |                      |                          |                                 |                                  |                                       |   |
|                               |  |            |                      |                          |                                 |                                  |                                       |   |
|                               |  |            |                      |                          |                                 |                                  |                                       |   |
|                               |  |            |                      |                          |                                 |                                  |                                       |   |
|                               |  |            |                      |                          |                                 |                                  |                                       |   |
|                               |  |            |                      |                          |                                 |                                  |                                       |   |
|                               |  |            |                      |                          |                                 |                                  |                                       |   |
|                               |  |            |                      |                          |                                 |                                  |                                       |   |
|                               |  |            |                      |                          |                                 |                                  |                                       |   |
|                               |  |            |                      |                          |                                 |                                  |                                       |   |
|                               |  |            |                      |                          |                                 |                                  |                                       |   |
|                               |  |            |                      |                          |                                 |                                  |                                       |   |
|                               |  |            |                      |                          |                                 |                                  |                                       |   |
|                               |  |            |                      |                          |                                 |                                  |                                       |   |
|                               |  |            |                      |                          |                                 |                                  |                                       |   |
|                               |  |            |                      |                          |                                 |                                  |                                       |   |
|                               |  |            |                      |                          |                                 |                                  |                                       |   |
|                               |  |            |                      |                          |                                 |                                  |                                       |   |

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter ..... ► \_\_\_\_\_

3 Enter total number of other organizations or entities ..... ► \_\_\_\_\_



**Part IV Foreign Forms**

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* .....  Yes  No
  
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)* .....  Yes  No
  
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations (see Instructions for Form 5471)* .....  Yes  No
  
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)* .....  Yes  No
  
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* .....  Yes  No
  
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990)* .....  Yes  No

Schedule F (Form 990) 2017

**COPY**

**Part V Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

**PART I, LINE 3:**

IN ACCORDANCE WITH IRS INSTRUCTIONS, ALL AMOUNTS REPORTED IN PART I OF SCHEDULE F ARE REPORTED USING THE ACCRUAL BASIS OF ACCOUNTING WHICH IS THE SAME METHOD OF ACCOUNTING USED IN THE FINANCIAL STATEMENTS.

Multiple horizontal lines for supplemental information.

**COPY**

**SCHEDULE J  
(Form 990)**

**Compensation Information**

OMB No. 1545-0047

**2017**

Open to Public Inspection

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

▶ Attach to Form 990.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

Department of the Treasury  
Internal Revenue Service

Name of the organization

**NATIONAL CENTER FOR STATE COURTS**

Employer identification number

**52-0914250**

**Part I Questions Regarding Compensation**

**1a** Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> First-class or charter travel  | <input checked="" type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions                     | <input type="checkbox"/> Payments for business use of personal residence            |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees              |
| <input checked="" type="checkbox"/> Discretionary spending account | <input type="checkbox"/> Personal services (such as, maid, chauffeur, chef)         |

**b** If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

**2** Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?

**3** Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- |   |   |
|---|---|
| <input type="checkbox"/> Compensation committee                     | <input type="checkbox"/> Written employment contract                                |
| <input type="checkbox"/> Independent compensation consultant        | <input checked="" type="checkbox"/> Compensation survey or study                    |
| <input checked="" type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

**4** During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment?
- b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?
- c** Participate in, or receive payment from, an equity-based compensation arrangement?
- If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

**Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.**

**5** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization?
- b** Any related organization?
- If "Yes" on line 5a or 5b, describe in Part III.

**6** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization?
- b** Any related organization?
- If "Yes" on line 6a or 6b, describe in Part III.

**7** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III

**8** Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III

**9** If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

|           | Yes | No |
|-----------|-----|----|
| <b>1b</b> | X   |    |
| <b>2</b>  | X   |    |
| <b>4a</b> |     | X  |
| <b>4b</b> |     | X  |
| <b>4c</b> |     | X  |
| <b>5a</b> |     | X  |
| <b>5b</b> |     | X  |
| <b>6a</b> |     | X  |
| <b>6b</b> |     | X  |
| <b>7</b>  |     | X  |
| <b>8</b>  |     | X  |
| <b>9</b>  |     |    |

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2017

**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

**Note:** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

| (A) Name and Title                                      |      | (B) Breakdown of W-2 and/or 1099-MISC compensation |                                     |                                     | (C) Retirement and other deferred compensation | (D) Nontaxable benefits | (E) Total of columns (B)(i)-(D) | (F) Compensation in column (B) reported as deferred on prior Form 990 |
|---|------|--|-------------------------------------|-------------------------------------|--|-------------------------|---------------------------------|---|
|   |      | (i) Base compensation                              | (ii) Bonus & incentive compensation | (iii) Other reportable compensation |  |                         |                                 |   |
| (1) MARY C. MCQUEEN<br>PRESIDENT AND CEO                | (i)  | 219,726.   | 0.                                  | 10,890.                             | 19,893.  | 12,062.                 | 262,571.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |
| (2) ROBERT N. BALDWIN<br>SECRETARY                      | (i)  | 197,219.   | 0.                                  | 6,675.                              | 17,984.  | 2,837.                  | 224,715.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |
| (3) GWEN M. WHITAKER<br>TREASURER                       | (i)  | 190,010.   | 0.                                  | 2,139.                              | 17,412.  | 11,507.                 | 221,068.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |
| (4) JEFFREY A. APPERSON<br>VP OF INTERNATIONAL PROGRAMS | (i)  | 204,293.   | 0.                                  | 2,139.                              | 18,527.  | 2,641.                  | 227,600.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |
| (5) DANIEL J. HALL<br>VP OF COURT CONSULTING SERVICES   | (i)  | 177,038.   | 0.                                  | 4,115.                              | 16,615.  | 16,649.                 | 214,417.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |
| (6) THOMAS M. CLARKE<br>VP OF RESEARCH & TECHNOLOGY     | (i)  | 169,835.   | 0.                                  | 2,139.                              | 15,791.  | 16,466.                 | 204,231.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |
| (7) JOHN MEEKS<br>VP OF INSTITUTE FOR COURT MANAGEMENT  | (i)  | 169,125.   | 0.                                  | 1,393.                              | 15,682.  | 15,570.                 | 201,770.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |
| (8) JESSE RUTLEDGE<br>VP EXTERNAL AFFAIRS               | (i)  | 168,050.   | 0.                                  | 324.                                | 15,554.  | 11,474.                 | 195,402.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |
| (9) JOHN FERRY, JR.<br>EXPATRIATE CHIEF OF PARTY        | (i)  | 206,820.   | 0.                                  | 18,804.                             | 18,614.  | 1,971.                  | 246,209.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |
| (10) S. KAY FARLEY<br>EXEC. DIR. GOV. RELATIONS         | (i)  | 158,713.   | 0.                                  | 4,115.                              | 14,362.  | 10,900.                 | 188,090.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |
| (11) TIMOTHY M. HUGHES<br>SENIOR TECHNICAL ADVISOR      | (i)  | 158,976.   | 0.                                  | 1,393.                              | 14,385.  | 10,903.                 | 185,657.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |
| (12) JOHN FURNARI<br>EXPATRIATE CHIEF OF PARTY          | (i)  | 144,935.   | 0.                                  | 11,292.                             | 13,647.  | 13,998.                 | 183,872.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |
| (13) DEBORAH MASON<br>EXEC. DIR. HUMAN RESOURCES        | (i)  | 153,830.   | 0.                                  | 2,139.                              | 14,265.  | 14,044.                 | 184,278.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |
|   | (i)  |  |                                     |                                     |  |                         |                                 |   |
|   | (ii) |  |                                     |                                     |  |                         |                                 |   |
|   | (i)  |  |                                     |                                     |  |                         |                                 |   |
|   | (ii) |  |                                     |                                     |  |                         |                                 |   |
|   | (i)  |  |                                     |                                     |  |                         |                                 |   |
|   | (ii) |  |                                     |                                     |  |                         |                                 |   |



**Part III Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

**PART I, LINE 1A:**

THE NATIONAL CENTER ALLOWS FIRST-CLASS AND BUSINESS CLASS AIR TRAVEL ACCORDING TO ITS POLICY. THE "IN EXCESS OF 14 HOURS OF TRAVEL" IS USUALLY THE EXCEPTION FOR THE CEO AND OTHER STAFF WHO FREQUENTLY FLY INTERNATIONALLY. THIS TRAVEL IS NOT TREATED AS TAXABLE COMPENSATION.

DISCRETIONARY SPENDING ACCOUNTS ARE PROVIDED FOR EACH DIVISION OF THE NATIONAL CENTER, RANGING IN VALUE BETWEEN \$3,000 AND \$5,000, DEPENDING ON THE SIZE AND SCOPE OF THE DIVISION. AN EXECUTIVE OFFICE MEMBER IS REQUIRED TO SIGN OFF ON ALL CHARGES TO THESE ACCOUNTS. THE NATURE OF THESE EXPENSES ARE MEALS, SMALL GIFTS, BIRTHDAY CAKES, DEPARTMENT CELEBRATIONS, ETC.

HOUSING ALLOWANCES ARE PROVIDED FOR CONTRACTUAL EMPLOYEES BASED ON EMPLOYMENT CONTRACTS AND USAID PROVISIONS. THIS APPLIES TO EXPATRIATE CHIEF OF PARTY, JOHN FURNARI AND JOHN FERRY, JR.

**SCHEDULE O**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No. 1545-0047

**2017**

Open to Public  
Inspection

Name of the organization

NATIONAL CENTER FOR STATE COURTS

Employer identification number

52-0914250

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

ACCOUNTABILITY. THE CENTER PROVIDES RESEARCH AND TECHNOLOGY SERVICES  
BOTH DOMESTICALLY AND INTERNATIONALLY. IT PROVIDES TECHNICAL ASSISTANCE  
TO STATE COURTS THROUGH CONSULTING, SERVES AS A CLEARINGHOUSE FOR  
INFORMATION SHARING WITHIN THE COURT COMMUNITY, IDENTIFIES EMERGING  
COST-CUTTING PRACTICES, DEVELOPS COST BENEFIT ANALYSIS FOR COURT  
OPERATIONS, AND DESIGNS LEADERSHIP TRAINING/EDUCATIONAL PROGRAMS FOR  
STATE COURT LEADERS. THE CENTER IS EDUCATING A NEW GENERATION OF COURT  
LEADERS, PROVIDING STATE-OF-THE-ART RESOURCES TO COURT EXECUTIVES, AND  
ENSURING THAT THE STATE JUDICIARIES BECOME EFFECTIVE PARTNERS WITH THE  
LEGISLATIVE AND EXECUTIVE BRANCHES OF GOVERNMENT.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

EDUCATION AND TRAINING

EXPENSES \$ 2,554,180. INCLUDING GRANTS OF \$ 0. REVENUE \$ 2,170,421.

FORM 990, PART V, LINE 4B, LIST OF FOREIGN COUNTRIES:

BANGLADESH, BELIZE, BOSNIA-HERZEGOVINA, COLOMBIA,  
COSTA RICA, EL SALVADOR, GUATEMALA, HONDURAS,  
KOSOVO, MOROCCO, NICARAGUA, PANAMA,  
SERBIA, TUNISIA, UGANDA

FORM 990, PART VI, SECTION A, LINE 7A:

THE CENTER HAS A COUNCIL OF STATE COURT REPRESENTATIVES (THE COUNCIL) WHICH  
CONSIST OF THE MEMBERS OF THE CONFERENCE OF CHIEF JUSTICES AND THE MEMBERS  
OF THE CONFERENCE OF STATE COURT ADMINISTRATORS. EACH MEMBER OF THE COUNCIL

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule O (Form 990 or 990-EZ) (2017)

732211 09-07-17

Name of the organization

NATIONAL CENTER FOR STATE COURTS

Employer identification number

52-0914250

HAS ONE VOTE. THE ANNUAL MEETING OF THE COUNCIL SHALL BE THE ANNUAL MEETING OF THE TWO CONFERENCES. THE FUNCTIONS OF THE COUNCIL SHALL BE: TO ELECT THE MEMBERS OF THE BOARD; TO PROVIDE LIAISON BETWEEN THE VARIOUS STATE OR OTHER JUDICIAL SYSTEMS AND BOARD OF DIRECTORS, OFFICERS AND STAFF OF THE CORPORATION; TO SUPPORT AND FACILITATE THE WORK OF THE CORPORATION; AND TO RECOMMEND GENERAL POLICIES FOR THE CONSIDERATION AND GUIDANCE OF THE BOARD OF DIRECTORS.

FORM 990, PART VI, SECTION B, LINE 11B:

THE FEDERAL FORM 990 INFORMATION IS PROVIDED BY MANAGEMENT TO RAFFA, P.C., WHO PREPARES THE RETURN. THE DRAFT FEDERAL FORM 990 IS THEN PROVIDED IN FULL TO THE AUDIT COMMITTEE FOR THEIR REVIEW AND APPROVAL. THE AUDIT COMMITTEE THEN DISTRIBUTES AN ELECTRONIC COPY OF THE DRAFT FEDERAL FORM 990 TO THE FULL BOARD OF DIRECTORS VIA EMAIL, FOR THEIR INFORMATION AND COMMENTS PRIOR TO FILING WITH THE INTERNAL REVENUE SERVICE.

FORM 990, PART VI, SECTION B, LINE 12C:

THE BOARD OF DIRECTORS APPROVED THE FOLLOWING POLICY AND PRACTICE: ALL DIRECTORS, OFFICERS AND EMPLOYEES ARE REQUIRED TO ACKNOWLEDGE BOTH ANNUALLY AND WHENEVER A POTENTIAL CONFLICT ARISES, 1) HAVING READ THE CONFLICT OF INTEREST POLICY, ACKNOWLEDGING UNDERSTANDING THE POLICIES AND PROCEDURES AND INDICATING COMPLIANCE TO SUCH POLICIES AND PROCEDURES; AND 2) DISCLOSE ANY INTEREST, RELATIONSHIPS, POSITIONS, ACTIVITIES, JOBS AND TRANSACTIONS THAT SHOULD BE SUBJECT TO REVIEW. THE DESIGNATED SENIOR MANAGER OR PRESIDENT THEN REVIEWS AND SIGNS THE DISCLOSURE, INDICATING WHETHER ANY ACTIVITY DISCLOSED IS APPROVED OR NOT. THE DIRECTOR OF HUMAN RESOURCES AND SECRETARY OF THE CENTER ARE RESPONSIBLE FOR SEEING THAT THE POLICY IS DISTRIBUTED AND DISCLOSURES ARE SUBMITTED BY ALL PERSONS. COPIES OF THE

|  |  |
|--|--|
| Name of the organization<br>NATIONAL CENTER FOR STATE COURTS | Employer identification number<br>52-0914250 |
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DISCLOSURES ARE KEPT FOR 10 YEARS.

SHOULD A CONFLICT BE DISCLOSED, THE BOARD MEMBER IS RECUSED FROM VOTING ON THE RELATED ACTION BEFORE THE BOARD OF DIRECTORS.

FORM 990, PART VI, SECTION B, LINE 15:

THE PROCESS OF DETERMINING COMPENSATION FOR THE PRESIDENT & CEO INCLUDES THE BOARD OF DIRECTORS REVIEWING ACTUAL PERFORMANCE OUTCOMES AGAINST WRITTEN OBJECTIVES AND RATING THE PRESIDENT & CEO'S PERFORMANCE. THE HUMAN RESOURCES OFFICE PROVIDES TO THE BOARD OF DIRECTORS COMPARABILITY DATA FROM RELEVANT SOURCES SUCH AS WORLDDATWORK, GUIDESTAR SALARY SURVEYS AND OTHER SOURCES. THE PERFORMANCE REVIEW AND SALARY INCREASE PROCESS IS TYPICALLY CONDUCTED AT THE FALL MEETING OF THE BOARD OF DIRECTORS. RESULTS OF THE BOARD OF DIRECTORS ACTIONS ARE DOCUMENTED IN A LETTER SIGNED BY THE CHAIR OF THE BOARD OF DIRECTORS AND DIRECTED TO EITHER THE CFO OR THE HUMAN RESOURCES DIRECTOR. THE MOST RECENT COMPENSATION REVIEW WAS PERFORMED ON 11/17/2017.

FORM 990, PART VI, LINE 17, LIST OF STATES RECEIVING COPY OF FORM 990:

AL, AK, AR, CA, CO, CT, FL, GA, HI, IL, KS, KY, LA, ME, MD, MA, MI, MN, MS, NH, NJ, NM, NY, NC, ND, OH, OK, OR, PA, RI, SC, TN, UT, VA, WA, WV, WI, DC, MO

FORM 990, PART VI, SECTION C, LINE 19:

THE CENTER MAKES ITS GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY, AND FINANCIAL STATEMENTS AVAILABLE UPON REQUEST.