

Joint Technology Committee Winter F2F Meeting

November 29, 2017

8:30 AM – 4:30 PM

Bourbon Orleans Hotel
"St. Mary's Salon"
New Orleans, LA 70116



*JTC Mission: To improve the administration of
justice through technology*

Committee Chair: David Slayton

Attendees:

COSCA Appointments

David Byers
Laurie Dudgeon
David Slayton

NACM Appointments

Kevin Bowling
Paul DeLosh
Danielle Fox
Kelly Steele
Jeffrey Tsunekawa

NCSC Appointments

Honorable O. John Kuenhold
Honorable Michael Trickey

CITOC Appointments

Jorge Basto
Casey Kennedy

Ex-Officio Appointment

Joe Wheeler (IJIS)

NCSC Staff

Tom Clarke
Paul Embley
Jim Harris
Tracey Johnson
Laura Klaversma
Jannet Lewis
Mary McQueen
Richard Schauffler

Agenda item: Accomplishments 2017

David Slayton

Discussion:

JTC made progress and produced deliverables/updates for the following Priority Topics for 2017.

- **Courts Disrupted Resource Bulletin** was published May 2017. Hosted a webinar, discussing some of the disruptive innovation discussed in the Resource Bulletin. Presentations were led by JTC at COSCA, CTC, and the Federal Courts.
- **Court Component Model Updates** impacts on procurement.
- **Online Dispute Resolution (ODR)**
 - Papers
 - FGs
 - A.I. portals
- **Cyber Security Survey** has gone through the drafting, data collection, and data analysis stages.
- **Case Information Exchange (CIE)** developed a WG and deliverable for defining (CIE).

Agenda item: Fines, Fees, and Bail Reform (Priority Topic 2017)

Laurie Dudgeon

Discussion:

The National Task Force WG on Fines, Fees, and Bail Practices met with the goals of developing education curriculum and technical solutions (technology solutions aligning with legal issues). The Task Force also presented at COSCA meetings.

The National Center for State Courts (NCSC) has a private donation for alternative sanction solutions, specifically involving indigent people.

Agenda item: Civil Justice Initiative (CJI) (Priority Topic 2017)

David Slayton

Discussion:

NCSC, IAALS, and SJI released an automated pathway triage paper, through the collaborated project to implement CJI Recommendations. The triage paper, *Civil Justice Initiative: Criteria for automating pathway triage in civil case processing*, focusing on:

- data and methods employed for these analyses,
- descriptive statistics about case characteristics and events related to case complexity, and
- recommended criteria for implementing an automated triage process.

Presentations efforts will be made on this topic at upcoming summits/conferences.

Agenda item: Case Information Exchange (CIE) (Priority Topic 2017)

Danielle Fox

Discussion:

WG is in the process of developing a business plan for the project and finalizing WG members.

- Phase 1: What is CIE?
- Phase 2: Guardianship case/court management

JTC will collaborate with NCSC and focus on Guardianship data. NCSC drafted a list of data variables and shared with NCSC staff, and JTC full committee, for any feedback.

The WG will focus on the following as next steps:

- Data elements and Guardianship data,
- Court business needs, and
- Accessing and exchanging information.

Agenda item: Courts Disrupted (Priority Topic 2017)

David Slayton

Discussion:

JTC drafted 2 papers focusing on defining ODR, technology, integration options for courts, court specific uses, and considerations for implementation.

After JTC reviewed the first ODR paper, there was general concern for lack of civil cases, staffing issues, and awareness of the downsides. Prior to consideration of approval, edits will be made to the paper and JTC will have a final review.

Next Steps

- Potential edits to the first ODR paper
 - Clearly stating the paper is suggested to be used as a tool
 - Include language to make audience aware of the downsides
 - Clarify outcome measures
 - Clarify access to data

Action items

- ✓ Develop WG for Generation II ODR

Person responsible

David Slayton

Agenda item: Access and Privacy (Priority Topic 2017)

Paul Embley
Jannet Lewis

Discussion:

As an effort SJI funding to review Access and Privacy Guidelines, 2 papers were published.

- Best Practices for Court Privacy Policy Formulation
- Automated Redaction Proof of Concept Report

JTC will review both papers, and provide an edits or suggestions to NCSC staff.

- Demo project
- Papers

Next Steps:

- Seek SJI funding to expand study and acquire 4 vendors

**Agenda item: NextGen Standards/Component Model
(Priority Topic 2017)**

Kevin Bowling
Kim Harris

Discussion:

The WG drafted 2 new documents, promoting the Application Component Model.

- *Introduction to the Next-Generation Court Technology Standards Application Component Model*
 - Published Resource Bulletin available on the JTC website: <http://www.ncsc.org/About-us/Committees/Joint-Technology-Committee/Publications-and-Webinars.aspx>
- *NextGen Court Technology Standards Component Model Work Plan*
 - Deliverables
 - Component Definitions/Scope
 - Interface Standards
 - Functional Requirements
 - JTC review/approval
 - Honorable O. John Kuenhold, motion to approve
 - Kelly Steele, seconds the motion to approve

With continued Industry and CITOC support, the NextGen Component Model topic had been presented at CTC and other meetings/conferences. After the Work Plan document is finalized and published for the public, JTC will propose additional funding from SJL for Phase 2.

Action items

- ✓ Suggest new project name/branding for 2018

Person responsible

JTC

Agenda item: NCSC Procurement Summit

Paul Embley

Discussion:

The 2017 Summit was held November 2017 in Phoenix, AZ. There were over 30 attendees (administrators, procurement staff, IT, etc.). Best practices in court technology procurement was developed for courts and justice partners to share:

- Requirements,
- RFPs,
- Evaluation tools,
- Testing scenarios, and
- Lessons learned.

The participants shared an interest in continuing the Summit, on an annual schedule. About half suggested the Summit takes place during CTC/eCourts and the other half suggest a Phoenix, AZ site.

Agenda item: Data Specialist/IT Summit

Richard Schauffler

Discussion:

The 2018 Summit will be held late Spring and hosted by NCSC. An agenda has been drafted, including multiple break-out sessions. Although the location of the Summit is pending, the Summit is scheduled to be 2 and a half days.

Various trial and state court level staff are expected to be in attendance. NCSC is interested in key note speakers for the Summit.

Agenda item: Cyber Security Survey

Paul Embley

Discussion:

A survey was launched by JTC to collect data on common practices and recommendations to avoid threats, July 2017. There have been over 130 responses to the survey. The respondents to the survey have typically been chief justices, court administrators, and CIO/IT staff.

The survey asked questions on the following topics relating to cyber security:

- Certifications
- Regular security meetings
- Response plans
- Disaster recovery plans
- Pen testing
- Data breaches
- Insurance

Agenda item: CITOC Charter/Strategic Plan

Casey Kennedy

Discussion:

During the CITOC annual meeting, aligning with Court Technology Conference 2017, the CITOC charter was updated and the CIOs were trained on IT management. Also, CITOC membership has increased and over 100 new members have been added.

JTC and CITOC will continue to work together in 2018. CITOC will provide support JTC priorities.

Agenda item: 2017/18 Top 10 Technology Solutions

Kevin Bowling

Discussion:

The following JTC members will make up the 2018 Top Technology Solutions Committee:

- Jorge Basto
- Danielle Fox
- Paul DeLosh
- Kelly Steele
- Joe Wheeler
- Judge Trickey
- Rodney Maile

Moving forward with this effort, the Committee will rely on their discretion to implement new changes for the judging in 2018.

Discussion:

JTC proposes the following as potential Priority Topics for 2018:

- **CIE**
 - Effort to follow-up on data elements.
- **Access and Privacy**
 - Effort to identify the multiple uses of redaction software, within the court system.
- **NextGen Standards/Component Model**
 - Seeking additional funding from SJI for Phase 2.
 - Current workplan through Mar 2018.
- **Cyber Security**
 - CITOC staff listserv.
- **ODR**
 - PEW involvement: 5 projects within next 3 years.
 - JTC involvement for 2nd Generation document.
- **Mentally Ill in the Criminal Justice System**
 - Changing the standard on engaging the mentally ill in the court system.
- **Uses and Abuses of Court Data**
 - The Court Statistics Project will take the lead on strategizing how to improve the use of court data, with JTC support.
- **Improving search results for court topics and court resources**
 - QR on search engine optimization.
- **Blockchains**

Please review the following meetings and events scheduled in 2017/18.

- **ODR Technology Standards**
 - Mid-December 2017
- **IJIS Symposium**
 - February 6-8, 2018
 - Arlington, VA
- **Industry Summit**
 - April 2018
 - Event location pending
- **Data Specialist/IT Summit**
 - May 2018
- **COSCA Western Regional Workshop**
 - May 2018
- **HR/IT Summit**
 - Early June 2018
 - Denver, CO