

# JTC Standards Development Issue Paper

## Problem Statement

The JTC needs to prioritize and coordinate its national standards development strategy and process in today's more complex and active standards development environment.

## Background

The Joint Technology Committee has long sponsored court technical standards development projects. In the past, those projects produced such deliverables as the case type-specific functional standards for case management systems. Now, the environment has changed in several ways. First, the courts are partnering for the first time in significant justice standards development efforts, primarily through Global, but more recently with NIEM. Second, state and local court organizations have moved faster than the JTC in establishing technical standards for case management systems, information exchanges and electronic filing. Third, the formation of CITOC offers a new resource and partly new process for developing and vetting proposed national court standards.

## Discussion

Court technical standards can now originate in several ways. The JTC may adopt a Global standard, adopt a state or local standard, adopt a standard from an external standards body (W3C, OASIS, NIST, IEEE, ANSI), or sponsor the development of a standard either by profiling, or extending an existing standard, reconciling several existing standards, or developing a completely new standard. The current standards approval process implicitly assumes a situation where the JTC is sponsoring the development of a new standard.

The creation of CITOC now offers an opportunity to delegate recommendations on the more technical aspects of the JTC agenda to appropriate technical experts and allow the JTC to concentrate on policy decisions. This division of labor requires a modification of the existing standards approval process to implement properly.

NCSC has developed a proposed modified JTC Standards Process that takes into account the diversity specifications, adoption and development options while staying true to basic processes and original intent of the original JTC Standards Process. This proposed approach is documented in three proposed documents—Charter of the Standards Working Group (JTC-RFC-001), Joint Technology Committee Standards Process (JTC-RFC-002) and Instructions to RFC Authors (JTC-RFC-003).

The JTC Standards Process consists of two distinct phases— developing an RFC (Figure 1) and the process through which the RFC is approved and becomes a Standard (Figure 2). These figures are described in detail in JTC-RFC-002.

## Recommended Motions

1. Approve in principle the:
  - Charter of the Standards Working Group (JTC-RFC-001)
  - Joint Technology Committee Standards Process (JTC-RFC-002)
  - Instructions to RFC Authors (JTC-RFC-003), and
  - Charge the Standards Working Group Chair to work with the JTC Chairs to fully constitute the Standards Working Group and prepare these documents for submission to the JTC approval process at the next meeting.

2. Charge the Standards Working Group to use the
  - Consolidated Functional Standards Document,
  - Protection Order IEPD,
  - Sentence Order IEPD,
  - Warrant IEPD,
  - Traffic Citation/Summons IEPD, and
  - OASIS LegalXML Electronic Court Filing 3.0 Specification
 to test the proposed JTC Standards Process and to come back to the JTC with recommendations on next steps for the Standards related documents and the Candidate Standards.
3. Charge the Standards Working Group to review all existing JTC Standards and recommend how they should be folded into the new JTC Standards documentation process.
4. Charge the Standards Working Group with preparing and RFC to adopt the *CCJ/COSCA Guidelines for Public Access to Court Records* as a JTC Standard.
5. Endorse the *Court Case Management System Functional Standards: a Development Roadmap* document as a statement of JTC strategic direction and charge CITOC with developing a Recommendation for Standards Development Priorities.

### **Reference Materials**

Charter of the Standards Working Group (SWG) JTC-RFC-001 (Meeting Materials\*)

Joint Technology Committee Standards Process JTC-RFC-002 (Meeting Materials)

Instructions to RFC Authors JTC-RFC-003 (Meeting Materials)

Consolidated Case Management System Functional Standards v0.10 (JTC Website<sup>†</sup>)

Protection Order IEPD (Meeting Materials with supplemental material on GJXDM Website<sup>‡</sup>)

Sentence Order IEPD (Meeting Materials with supplemental material on GJXDM Website)

Warrant IEPD (Meeting Materials with supplemental material on GJXDM Website)

Traffic Citation IEPD (Meeting Materials with supplemental material on GJXDM Website)

OASIS LegalXML Electronic Court Filing 3.0 Executive Summary (Meeting Materials)

OASIS LegalXML Electronic Court Filing 3.0 Portable Media Messaging Profile (JTC Website)

OASIS LegalXML Electronic Court Filing 3.0 Web Services Messaging Profile (JTC Website)

OASIS LegalXML Electronic Court Filing 3.0 Document Signature Profiles (JTC Website)

Court Case Management System Functional Standards: a Development Roadmap (In Packet)

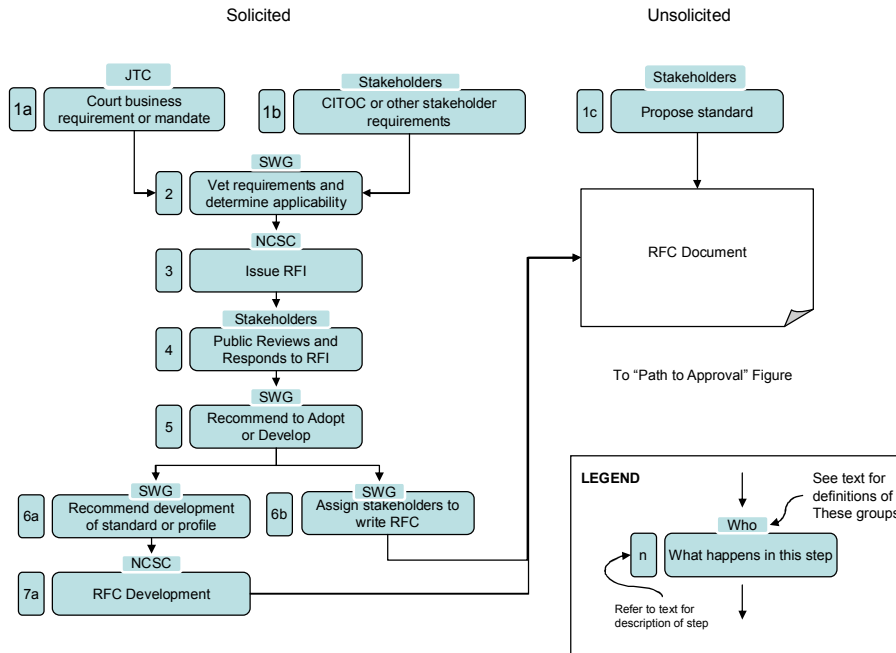
GJXDM Information Exchange Package Documentation Guideline. (GJXDM Website)

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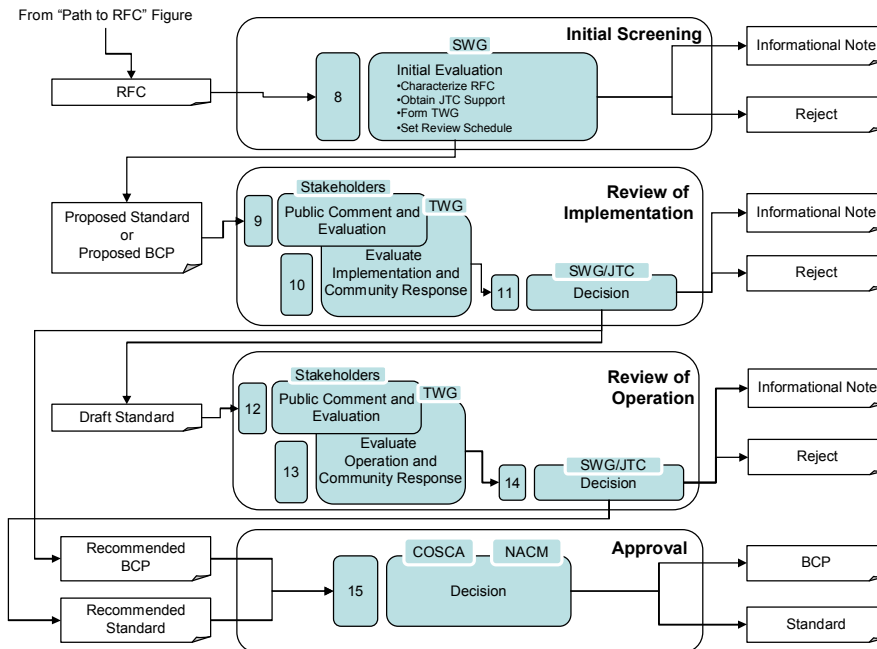
\* Meeting Materials are available at [http://www.ncsconline.org/D\\_Tech/jtc/](http://www.ncsconline.org/D_Tech/jtc/)

† JTC Website is at [http://www.ncsconline.org/D\\_Tech/jtc/](http://www.ncsconline.org/D_Tech/jtc/)

‡ GJXDM Website is at [http://www.ncsconline.org/D\\_Tech/gjxdm/](http://www.ncsconline.org/D_Tech/gjxdm/)



**Figure 1: Path to RFC**



**Figure 2: Path to Approval**