

Appendix B
Sample Presentence Reports with Risk
and Needs Information

Arizona Report 1

Coconino County Adult Probation Department
 Presentence Report - Offender Screening Tool Results
 State of Arizona v. DIAZ, ARTHUR KEVIN – 20080441

RISK/NEED ASSESSMENT:

Based on the Offender Screening Tool (OST), the following is an assesment of the defendant's risk to reoffend and criminogenic needs. There are 10 categories, or domains, assessed in the OST. Domains that do not contribute to the defendant's overall risk to reoffend, or represent a criminogenic need area for the defendant do not require intervention. Domains that contribute to the defendant's overall risk to reoffend and criminogenic needs may require intervention. Domains that significantly contribute to the defendant's overall risk to reoffend and criminogenic needs must be addressed when developing community supervision strategies. The OST is a standardized, statewide, validated tool approved by the Administrative Office of the Courts (AOC).

Risk Level: High Risk

Domains that Do Not Contribute to Overall Risk to Reoffend or Criminogenic Needs Level

Domain			Summary
The Physical/Medical Health category has been identified as a responsivity factor and is not shown to impact risk level			
I. Physical/Medical Health*			<ul style="list-style-type: none"> • The defendant reports that his ruptured disc in his back prevents him from working • Client indicates that he requires constant pain meds to control pain
V. Residence			<ul style="list-style-type: none"> • The defendant is in a positive, supportive living arrangement.
VI. Alcohol			<ul style="list-style-type: none"> • The defendant has no alcohol history, no reported problems

Domains that May Contribute to Overall Risk to Reoffend or Criminogenic Needs Level

Domain			Summary
II. Vocational/Financial			<ul style="list-style-type: none"> • The defendant reports that his ruptured disc in his back prevents him from working.
IV. Family and Social Relationships			<ul style="list-style-type: none"> • The defendant has no family relationships. • The defendant spends his time with individuals with felony records.
VIII. Mental Health			<ul style="list-style-type: none"> • The defendant reports that he attempted suicide 5 years ago, but has been treated and is stable at present.

Domains that Significantly Contribute to Overall Risk to Reoffend or Criminogenic Needs Level

Domain		Summary
VII. Drug Abuse		<ul style="list-style-type: none"> • The defendant reports using meth and cocaine two or three times per week for the past two years.
IX. Attitude		<ul style="list-style-type: none"> • The defendant feels that the judge was unfair with his 3 year sentence. • The defendant feels that the probation department should have no say over his actions.
X. Criminal Behavior		<ul style="list-style-type: none"> • Age 14 at first arrest. • Felonies.
III. Education		<ul style="list-style-type: none"> • The defendant was suspended from school three times

Risk Level: High Risk

Arizona Report 2

Coconino County Adult Probation Department
 Presentence Report - Offender Screening Tool Results
 State of Arizona v. DIAZ, ARTHUR KEVIN – 20080441

RISK/NEED ASSESSMENT:

Based on the Offender Screening Tool (OST), the following is an assesment of the defendant's risk to reoffend and criminogenic needs. There are 10 categories, or domains, assessed in the OST. Domains that do not contribute to the defendant's overall risk to reoffend, or represent a criminogenic need area for the defendant do not require intervention. Domains that contribute to the defendant's overall risk to reoffend and criminogenic needs may require intervention. Domains that significantly contribute to the defendant's overall risk to reoffend and criminogenic needs must be addressed when developing community supervision strategies. The OST is a standardized, statewide, validated tool approved by the Administrative Office of the Courts (AOC).

Risk Level: High Risk - 21/42

Domains that Do Not Contribute to Overall Risk to Reoffend or Criminogenic Needs Level

Domain			Summary
The Physical/Medical Health category has been identified as a responsivity factor and is not shown to impact risk level			
I. Physical/Medical Health*			<ul style="list-style-type: none"> • The defendant reports that his ruptured disc in his back prevents him from working • Client indicates that he requires constant pain meds to control pain
V. Residence			<ul style="list-style-type: none"> • The defendant is in a positive, supportive living arrangement.
VI. Alcohol			<ul style="list-style-type: none"> • The defendant has no alcohol history, no reported problems

Domains that May Contribute to Overall Risk to Reoffend or Criminogenic Needs Level

Domain			Summary
II. Vocational/Financial			<ul style="list-style-type: none"> • The defendant reports that his ruptured disc in his back prevents him from working.
IV. Family and Social Relationships			<ul style="list-style-type: none"> • The defendant has no family relationships. • The defendant spends his time with individuals with felony records.
VIII. Mental Health			<ul style="list-style-type: none"> • The defendant reports that he attempted suicide 5 years ago, but has been treated and is stable at present.

Domains that Significantly Contribute to Overall Risk to Reoffend or Criminogenic Needs Level

Domain		Summary
VII. Drug Abuse		<ul style="list-style-type: none"> • The defendant reports using meth and cocaine two or three times per week for the past two years.
IX. Attitude		<ul style="list-style-type: none"> • The defendant feels that the judge was unfair with his 3 year sentence. • The defendant feels that the probation department should have no say over his actions.
X. Criminal Behavior		<ul style="list-style-type: none"> • Age 14 at first arrest. • Felonies.
III. Education		<ul style="list-style-type: none"> • The defendant was suspended from school three times

Risk Level: High Risk - 21/42

Arizona Report 3

Coconino County Adult Probation Department
 Presentence Report - Offender Screening Tool Results
 State of Arizona v. DIAZ, ARTHUR KEVIN – 20080441

RISK/NEED ASSESSMENT:

Based on the Offender Screening Tool (OST), the following is an assesment of the defendant's risk to reoffend and criminogenic needs. There are 10 categories, or domains, assessed in the OST. Domains that do not contribute to the defendant's overall risk to reoffend, or represent a criminogenic need area for the defendant do not require intervention. Domains that contribute to the defendant's overall risk to reoffend and criminogenic needs may require intervention. Domains that significantly contribute to the defendant's overall risk to reoffend and criminogenic needs must be addressed when developing community supervision strategies. The OST is a standardized, statewide, validated tool approved by the Administrative Office of the Courts (AOC).

Risk Level: High Risk - 21/42

Domains that Do Not Contribute to Overall Risk to Reoffend or Criminogenic Needs Level

Domain	Score		Summary
The Physical/Medical Health category has been identified as a responsivity factor and is not shown to impact risk level			
I. Physical/Medical Health*	2/2	100%	<ul style="list-style-type: none"> • The defendant reports that his ruptured disc in his back prevents him from working • Client indicates that he requires constant pain meds to control pain
V. Residence	0/2	0%	<ul style="list-style-type: none"> • The defendant is in a positive, supportive living arrangement.
VI. Alcohol	0/3	0%	<ul style="list-style-type: none"> • The defendant has no alcohol history, no reported problems

Domains that May Contribute to Overall Risk to Reoffend or Criminogenic Needs Level

Domain	Score		Summary
II. Vocational/Financial	1/5	20%	<ul style="list-style-type: none"> • The defendant reports that his ruptured disc in his back prevents him from working.
IV. Family and Social Relationships	3/8	38%	<ul style="list-style-type: none"> • The defendant has no family relationships. • The defendant spends his time with individuals with felony records.
VIII. Mental Health	1/2	50%	<ul style="list-style-type: none"> • The defendant reports that he attempted suicide 5 years ago, but has been treated and is stable at present.

Domains that Significantly Contribute to Overall Risk to Reoffend or Criminogenic Needs Level

Domain	Score		Summary
VII. Drug Abuse	2/3	67%	<ul style="list-style-type: none"> • The defendant reports using meth and cocaine two or three times per week for the past two years.
IX. Attitude	5/7	71%	<ul style="list-style-type: none"> • The defendant feels that the judge was unfair with his 3 year sentence. • The defendant feels that the probation department should have no say over his actions.
X. Criminal Behavior	7/9	78%	<ul style="list-style-type: none"> • Age 14 at first arrest. • Felonies.
III. Education	2/3	100%	<ul style="list-style-type: none"> • The defendant was suspended from school three times

Risk Level: High Risk - 21/42

Travis County, Texas Sample Report

**TRAVIS COUNTY ADULT PROBATION DEPARTMENT
P. O. Box 2245, Austin, Texas 78768 (512) 854-4600
DIAGNOSTIC REPORT-PSI**

NAME (Last) Miller	(First) Melanie	(Middle) R	(Maiden)	COURT DATE 09/06/07
Aka: Mary Miller		TRN	CAUSE NO.	
		TRS		
SSN	APD	FBI NO.	SID NO.	DL NO.
MAILING ADDRESS		PHYSICAL ADDRESS Same		CITIZENSHIP United States
PHONE NUMBER 512-		OTHER NUMBER 512-		ALIEN NO. None
PLACE OF BIRTH Texas	DOB 09/19/1960	AGE 46	SEX Female	RACE Caucasian
MARITAL STATUS Single		DEPENDENTS 1		EDUCATION 10th grade
MONTHLY INCOME \$817.00		MONTHLY EXPENSES \$714.00		
OFFENSE FORGERY			OFFENSE TYPE State Jail Felony	
PENALTY RANGE 180 days - 2 years confinement, 2-5 years supervision, Fine up to \$10,000.			OFFENSE DATE 12/xx/06	
CO-DEFENDANT None			DATE OF ARREST 12/xx/06	
PLEA Has Not Pled		CUSTODIAL STATUS Personal Bond		DATE OF PLEA N/A
DETAINERS/ CHARGES PENDING None				
PROSECUTOR		DEFENSE ATTORNEY		RESTITUTION None
SENTENCING JUDGE		COURT xxth District Court		
PROBATION OFFICER DIAGNOSTIC UNIT			PROBATION MANAGER DIAGNOSTIC UNIT	

PRESENT OFFENSE NARRATIVE:

On December xx, 2006, at approximately 2:15 pm, Austin Police Department (APD) Officer K. and Officer P. responded to a report of a forgery passing at ABC Cash Express located at 517 A Ave. Upon arrival they met with Mary Smith who stated that a female, identified as Melanie Miller, the defendant, was attempting to cash a fake 7-11 check worth \$2962.30. Mary called the Bank of America to confirm if the check was real. Bank of America told her that the account number on the check did not exist. Mary advised the defendant, who also presented a letter trying to prove that the check was good.

Mary added that the paper used for the check was regular paper, not paper that is consistent in the preparation of checks. She continued to state that the business has cashed valid 7-11 checks in the past and the check number was too small.

When Mary told the defendant and her cousin, identified as Esther Jones, that she was calling the police, the defendant and Jones got scared and left the scene. The defendant (and Jones) returned to the scene and explained to Officer K. and Officer P. how she got the check. The defendant stated that she enrolled herself in a Yahoo post for a Christmas job or to receive financial assistance for Christmas. She stated that she got paid in many ways, including gift cards and this check with number 0009999337. The defendant said that the check was delivered from Canada. The letter that came with the check was from Alliance Processing Center. It was an Award Notification Letter telling the defendant that she had won \$50,000 and that they were mailing her an assistance check of \$2962.30 to help her pay for tax and administrative expenses involved with her winnings. The defendant was upset and stated that she did not know that the check was not real.

The defendant stated that she did not know who sent her the check, and did not have an explanation for why the check was stated to be from Dallas, TX, but mailed from Canada. It should be noted that the phone number on the check returns to Ontario, Canada, not Texas.

SUMMARY OF CRIMINAL HISTORY: (PRIOR RECORD)

DATE	ARRESTING AGENCY	OFFENSE	DISPOSITION
06/00/80	PD, Austin, Texas	Credit Card Abuse	12/00/80, Three years probation
03/00/80 (Offense date)	SO, Travis County, Texas	Theft by Check	07/00/82, 20 days Travis County Jail
02/00/86 (Offense date)	PD, Austin, Texas	Burglary of Habitation	07/00/86, 10 years Shock Probation; 04/00/88, Revoked, 90 days Travis County Jail

10/00/87	PD, Austin, Texas	Theft	02/00/88, 60 days Travis County Jail
11/00/87	PD, Austin, Texas	Theft	02/00/88, 60 day Travis County Jail
08/00/87 (Offense date)	PD, Austin, Texas	Forgery by Possession with Intent to Pass	01/00/88, Eight years TDCJ
11/00/94 (Offense date)	Park Police, Austin, Texas	Theft of Property	11/00/95, Four days Travis County Jail
01/00/95	PD, San Marcos, Texas	Criminal Mischief	03/00/95, Fined

Sources available to this department indicate that the defendant has been convicted of three prior felony offenses and served two prior terms of probation for Credit Card Abuse and Burglary of Habitation. There was no record found for the Credit Card Abuse probation. The Burglary of Habitation probation term was revoked on 04/00/88 due to committing the subsequent offense of Forgery by Possession with Intent to Pass on 08/00/87 and failure to report as directed.

PENDING CASES: None.

VICTIM IMPACT STATEMENT:

Victim: None
Loss: None

SUMMARY EVALUATION SOCIAL INDICATORS:

Based on the SCS protocol, the following shaded areas in the Potential Concern and Salient Problem categories indicate criminogenic risk factors placing this individual at greater risk of recidivating.

Domains	Not An Issue (NI)	Potential Concern (PC)	Salient Problem (SP)
Criminal Thinking/ Orientation	<i>First time offender. Pro-social</i>	<i>Negative environmental influences, peers etc. Escalating Criminal History</i>	<i>Lengthy criminal history. Entrenched criminal value system.</i> <ul style="list-style-type: none"> ●Lived off prostitution. ●Nine prior offenses of theft, forgery, or burglary. ●One prior felony term of probation revoked.

Peer Relations	<i>Generally positive and associations with non-offenders</i>	<i>Occasional association with other offenders</i>	<i>Gang member or associates with other offenders/drug dealers. Easily influenced</i> <ul style="list-style-type: none"> ● Offenses were generally committed with accomplices. ● Pimps or people around her made her commit the offenses she has in her prior history. ● Now isolates herself because she admits she is easily influenced by people. ● Thinks she is really weak and does whatever anyone tells her to do.
Assaultive Behavior	<i>No evidence of emotional instability or assaultive behavior</i>	<i>Single prior episode of assaultive behavior</i>	<i>Current or multiple episodes of assaultive behavior</i>
Alcohol Use	<i>None or Social.</i>	<i>Occasional abuse, some disruption of functioning</i>	<i>Frequent abuse, serious disruption</i>
Drug Use	<i>No Current Use</i>	<i>Occasional abuse, some disruption of functioning</i>	<i>Frequent abuse, serious disruption</i>
Sexual Behavior	<i>No evidence of inappropriate sexual behavior</i>	<i>Current or past statutory offense</i> <ul style="list-style-type: none"> ● History of Prostitution 	<i>Current and/or multiple incidents, which have occurred in the last 5 years</i>
Vocational/ Employment –Work Skills	<i>Full-time employment and/or student/homemaker</i>	<i>Sporadic full and/or part-time employment history, including brief periods of unemployment</i>	<i>No employment record, unskilled, unmotivated, or involved in illegal activity</i> <ul style="list-style-type: none"> ● Unemployed 50% of the time or more. ● Disabled for four years. ● History of unskilled labor. ● Longest job reported was five to six months long and she quit because she was pregnant with her son.
Family/ Marital Relations	<i>Stable/ Supportive/ Effective Controls. No Abuse</i>	<i>Some Disorganization and Stress/ Marginal Controls. Prior Abuse.</i>	<i>Major Disorganization or Stress/Ineffective Controls. Current Abuse.</i> <ul style="list-style-type: none"> ● In CPS custody since the age of eight. ● Mother was physically abusive. ● Recently found out her father is her mother's

			<p>biological brother and that he raped her mother when she was 12 or 13 years of age.</p> <ul style="list-style-type: none"> ●Reports being molested while in foster homes. ●Ran away from foster home at the age 16 and ended up on the streets. ●Was forced to prostitute herself since the age 16. ●Had several children and all but one have been placed under adoption. ●Only son is 17 years of age now. ●Married once in the 80's but marriage was annulled one week later.
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Additional problem areas that may interfere with the individual's adjustment and/or compliance with probation.

Medical Health	<i>Sound physical health</i>	<p><i>Handicap or illness that interferes with social functioning</i></p> <ul style="list-style-type: none"> ●Suffers from asthma and should be taking albuterol but has run out. ●Recently suffered head trauma because a tree fell into her window and on her and was prescribed depakote for the pain. 	<i>Serious or chronic illness</i>
Residential	<i>Self-Sufficient, Stable environment</i>	<p><i>Short-term periods of residential instability</i></p> <ul style="list-style-type: none"> ●Lived at current address for three years. ●Lives with 17 year old son. ●Has been stable for the past eleven years. ●Was at Salvation Army homeless shelter with son eight years ago. ●Grew up in 24 different foster homes until the age 	<i>Chronic residence problems with frequent address changes homelessness, or shelter care</i>

		of 16 when she ran away and ended up on the streets.	
Education	<i>Satisfactory – No Significant Learning Disabilities or Special Education, HS or GED and higher achieved education</i>	<i>Functioning below expected grade level or Learning Disability/Special Education. No GED or High School Diploma</i> <ul style="list-style-type: none"> ●Dropped out school during the tenth grade. ●Received remedial education and had trouble learning. ●Believes foster parents did not care about her education. ●Obtained her GED in 1993 while on parole. ●Does not remember how many times she was suspended from high school or why she was suspended. 	<i>Below grade 9 and/or Functionally illiterate</i>
Financial Management	<i>Current income exceeds expenses. Living within means</i>	<i>Questionable expenses, unstable income</i> <ul style="list-style-type: none"> ●Receives Social Security disability, food stamps, and TANIF for 17 year old son. ●Offense committed for monetary gain. ●Has \$103 left over after all expenses are paid. 	<i>Excessive debt, expenses exceed income. Inability to meet basic living needs</i>
Mental Health Status	<i>No Mental Health problems and/or diagnosis.</i>	<i>Mental Health problems that have been or are now being treated</i> <ul style="list-style-type: none"> ●Has active MHMR diagnosis since December 2005. ●Currently receives services from the MHMR/ANEW program. ●Currently on several psychotropic medications, that despite taking them, the 	<i>Significant Mental Health instability</i>

		defendant still has symptoms present. •Receives pension for psychiatric disability.	
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CLASSIFICATION AND SUPERVISION GROUP:

Initial Risk	SCS Score				
	SIS	SIT	ES	CC	LS
Low					
Medium					
High			XXX		

This person is High Risk of recidivating and falls into the Environmental Structuring (ES) strategy group.

Characteristics: These offenders make choices due to their inability to solve problems correctly and their naiveté and social gullibility. ES offenders tend to have below average mental capacity. They are often impulsive, because they are less capable of weighing the consequences of their behavior for either themselves or others. They have a low ability to perceive the motives and concerns of others and are easily led by more sophisticated individuals. Even though malice is rare in their motivation, offenders can become involved in assaultive offenses due to a lack of insight.

Supervision Strategy: Will require intensive supervision and referrals to enhance skill levels as well as improve interactions with others. Will also require collateral contacts with family members.

URINE SPECIMEN RESULTS:

Results from the urine specimen collected on 08/21/07; Tested Negative for THC, Cocaine, PCP, Amphetamines, Opiates Status: Negative; Assessment.

SUBSTANCE ABUSE EVALUATION RESULTS:

Based on Lack of current indicators, Travis County Adult Probation is recommending No need for treatment.

CONDITIONS OF PROBATION:

If placed under the supervision of the Travis County Adult Probation Department the following conditions would be appropriate:

Treatment Conditions

- Assign to Mental Health Specialized Caseload and participate in MHMR/ANEW for an assessment of services.
- Take Medicine as Prescribed.
- Do not participate in any clinical studies.

“Off Grid” Conditions (Conditions that apply because of the special nature of the offense):